PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

C12N 9/02, 1/20, 15/09, 15/63, C12P
13/00

(11) International Publication Number: WO 98/18910

(43) International Publication Date: 7 May 1998 (07.05.98)

(21) International Application Number: PCT/US97/17819
 (22) International Filing Date: 3 October 1997 (03.10.97)

08/742,605 28 October 1996 (28.10.96) US

(71) Applicant (for all designated States except US): YISSUM

(71) Applicant (for all designated States except US): YISSUM RESEARCH AND DEVELOPMENT COMPANY OF THE HEBREW UNIVERSITY OF JERUSALEM [IL/IL]; Jabotinsky 46, 91042 Jerusalem (IL).

(71) Applicant (for TJ only): FRIEDMAN, Mark [US/IL]; Alharizi 1, 43406 Raanana (IL).

(72) Inventors; and

(30) Priority Data:

(75) Inventors/Applicants (for US only): MANN, Varda [II/IL]; Nof Harim 23, 96190 Mevasseret Zion (IL). HIRSCHBERG, Joseph [IL/IL]; Borla 26, 93714 Jerusalem (IL). LOTAN, Tamar [II/IL]; 15105 Kineret (IL). HARKER, Mark [II/IL]; Narkis 9, 92461 Jerusalem (IL).

(74) Agent: CASTORINA, Anthony; Mark Friedman Ltd., Suite 207, 2001 Jefferson Davis Highway, Arlington, VA 22202 (US).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report.

(54) Title: NUCLEIC ACID SEQUENCE ENCODING BETA-C-4-OXYGENASE FROM HAEMATOCOCCUS PLUVIALIS FOR THE BIOSYNTHESIS OF ASTAXANTHIN

(57) Abstract

The present invention relates, in general, to a biotechnological method for production of (3S,3'S) astaxanthin. In particular, the present invention relates to a peptide having a β -C-4-oxygenase activity; a DNA segment coding for this peptide; an RNA segment coding for this peptide; a recombinant DNA molecule comprising a vector and the DNA segment; a host cell or organism containing the above described recombinant DNA molecule or DNA segment; and to a method of biotechnologically producing (3S,3'S) astaxanthin or a food additive containing (3S,3'S) astaxanthin, using the host.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

| AL | Albania | ES | Spain | LS | Lesotho | SI | Slovenia |
|------|--------------------------|----|---------------------|----|-----------------------|------|-------------------------|
| AM | Armenia | FI | Finland | LT | Lithuania | SK | Slovakia |
| AT | Austria | FR | France | LU | Luxembourg | SN | Senegal |
| AU | Australia | GA | Gabon | LV | Latvia | SZ | Swaziland |
| AZ | Azerbaijan | GB | United Kingdom | MC | Monaco | TD | Chad |
| BA | Bosnia and Herzegovina | GE | Georgia | MD | Republic of Moldova | TG | Togo |
| BB | Barbados | GH | Ghana | MG | Madagascar | TJ | Tajikistan |
| BE | Belgium | GN | Guinea | MK | The former Yugoslav | TM | Turkmenistan |
| BF | Burkina Faso | GR | Greece | | Republic of Macedonia | TR < | Turkey |
| BG | Bulgaria | HU | Hungary | ML | Mali | TT | Trinidad and Tobago |
| BJ | Benin | IB | Ireland | MN | Mongolia | UA | Ukraine |
| BR | Brazil | IL | Israel | MR | Mauritania | UG | Uganda |
| BY | Belarus | IS | Iceland | MW | Malawi | US | United States of Americ |
| CA . | Canada . | IT | Italy | MX | Mexico | UZ | Uzbekistan |
| CF | Central African Republic | JP | Japan | NE | Niger | VN | Viet Nam |
| CG | Congo | KB | Kenya | NL | Netherlands | YU | Yugoslavia |
| CH | Switzerland | KG | Kyrgyzstan | NO | Norway | ZW | Zimbabwe |
| CI | Côte d'Ivoire | KP | Democratic People's | NZ | New Zealand | | |
| CM | Cameroon | | Republic of Korea | PL | Poland | | |
| CN | China | KR | Republic of Korea | PT | Portugal | | |
| CU | Cuba | KZ | Kazakstan | RO | Romania | | |
| CZ | Czech Republic | LC | Saint Lucia | RU | Russian Federation | | |
| DE | Germany | LI | Liechtenstein | SD | Sudan | | |
| DK | Denmark | LK | Sri Lanka | SE | Sweden | | |
| EE | Estonia | LR | Liberia | SG | Singapore | | |

NUCLEIC ACID SEQUENCE ENCODING BETA-C-4-OXYGENASE FROM HAEMATOCOCCUS PLUVIALIS FOR THE BIOSYNTHESIS OF ASTAXANTHIN

5

10

15

20

25

30

FIELD AND BACKGROUND OF THE INVENTION

The present invention relates, in general, to a biotechnological method for production of (3S,3'S) astaxanthin. In particular, the present invention relates to a peptide having a β -C-4-oxygenase activity; a DNA segment coding for this peptide; an RNA segments coding for this peptide; a recombinant DNA molecule comprising a vector and the DNA segment; a host cell or organism containing the above described recombinant DNA molecule or DNA segment; and to a method of biotechnologically producing (3S,3'S) astaxanthin or a food additive containing (3S,3'S) astaxanthin, using the host.

Carotenoids, such as astaxanthin, are natural pigments that are responsible for many of the yellow, orange and red colors seen in living organisms. Carotenoids are widely distributed in nature and have, in various living systems, two main biological functions: they serve as light-harvesting pigments in photosynthesis, and they protect against photooxidative damage. These and additional biological functions of carotenoids, their important industrial role, and their biosynthesis are discussed hereinbelow.

As part of the light-harvesting antenna, carotenoids can absorb photons and transfer the energy to chlorophyll, thus assisting in the harvesting of light in the range of 450 - 570 nm [see, Cogdell RJ and Frank HA (1987) How carotenoids function in photosynthestic bacteria. Biochim Biophys Acta 895: 63-79; Cogdell R (1988) The function of pigments in chloroplasts. In: Goodwin TW (ed) Plant Pigments, pp 183-255. Academic Press, London; Frank HA, Violette CA, Trautman JK, Shreve AP, Owens TG and Albrecht AC (1991) Carotenoids in photosynthesis: structure and photochemistry. Pure Appl Chem 63: 109-114; Frank HA, Farhoosh R, Decoster B and Christensen RL (1992) Molecular features that control the efficiency of carotenoid-to-chlorophyll energy photosynthesis. In: Murata N (ed) Research in Photosynthesis, Vol I, pp 125-128. Kluwer, Dordrecht; and, Cogdell RJ and Gardiner AT (1993) Functions of carotenoids in photosynthesis. Meth Enzymol 214: 185-193]. Although carotenoids are integral constituents of the protein-pigment complexes of the lightharvesting antennae in photosynthetic organisms, they are also important components of the photosynthetic reaction centers.

2

Most of the total carotenoids is located in the light harvesting complex II [Bassi R, Pineaw B, Dainese P and Marquartt J (1993) Carotenoid binding proteins Eur J Biochem 212: 297-302]. of photosystem II. The identities of the photosynthetically active carotenoproteins and their precise location in lightharvesting systems are not known. Carotenoids in photochemically active chlorophyll-protein complexes of the thermophilic cyanobacterium Synechococcus sp. were investigated by linear dichroism spectroscopy of oriented samples [see, Breton J and Kato S (1987) Orientation of the pigments in photosystem II: lowtemperature linear-dichroism study of a core particle and of its chlorophyll-protein subunits isolated from Synechococcus sp. Biochim Biophys Acta 892: 99-107]. These complexes contained mainly a \beta-carotene pool absorbing around 505 and 470 nm, which is oriented close to the membrane plane. In photochemically inactive chlorophyll-protein complexes, the \(\beta\)-carotene absorbs around 495 and 465 nm, and the molecules are oriented perpendicular to the membrane plane.

10

15

20

25

30

35

Evidence that carotenoids are associated with cyanobacterial photosystem (PS) II has been described [see, Suzuki R and Fujita Y (1977) Carotenoid photobleaching induced by the action of photosynthetic reaction center II: DCMU sensitivity. Plant Cell Physiol 18: 625-631; and, Newman PJ and Sherman LA (1978) Isolation and characterization of photosystem I and II membrane particles from the blue-green alga Synechococcus cedrorum. Biochim Biophys Acta 503: 343-361]. There are two β-carotene molecules in the reaction center core of PS II [see, Ohno T, Satoh K and Katoh S (1986) Chemical composition of purified oxygen-evolving complexes from the thermophilic cyanobacterium Synechococcus sp. Biochim Biophys Acta 852: 1-8; Gounaris K, Chapman DJ and Barber J (1989) Isolation and characterization of a D1/D2/cytochrome b-559 complex from Synechocystis PCC6803. Biochim Biophys Acta 973: 296-301; and, Newell RW, van Amerongen H, Barber J and van Grondelle R (1993) Spectroscopic characterization of the reaction center of photosystem II using polarized light: Evidence for β-carotene excitors in PS II reaction centers. Biochim Biophys Acta 1057: 232-238] whose exact function(s) is still obscure [reviewed by Satoh K (1992) Structure and function of PS II reaction center. In: Murata N (ed) Research in Photosynthesis, Vol. II, pp. 3-12. Kluwer, Dordrecht]. It was demonstrated that these two coupled \(\beta\)-carotene molecules protect chlorophyll P680 from photodamage in isolated PS II reaction centers [see, De Las Rivas J, Telfer A and Barber J (1993) 2-coupled \(\beta\)-carotene molecules protect P680 from photodamage in isolated PS II reaction centers. Biochim. Biophys. Acta 1142: 155-164], and this may be related to the protection against degradation of the D1 subunit of PS II [see, Sandmann G (1993) Genes and enzymes involved in the desaturation

3

reactions from phytoene to lycopene. (abstract), 10th International Symposium on Carotenoids, Trondheim CL1-2]. The light-harvesting pigments of a highly purified, oxygen-evolving PS II complex of the thermophilic cyanobacterium *Synechococcus* sp. consists of 50 chlorophyll *a* and 7 β-carotene, but no xanthophyll, molecules [see, Ohno T, Satoh K and Katoh S (1986) Chemical composition of purified oxygen-evolving complexes from the thermophilic cyanobacterium *Synechococcus* sp. Biochim Biophys Acta 852: 1-8]. β-carotene was shown to play a role in the assembly of an active PS II in green algae [see, Humbeck K, Romer S and Senger H (1989) Evidence for the essential role of carotenoids in the assembly of an active PS II. Planta 179: 242-250].

10

15

20

25

30

35

Isolated complexes of PS I from Phormidium luridum, which contained 40 chlorophylls per P700, contained an average of 1.3 molecules of β-carotene [see, Thornber JP, Alberte RS, Hunter FA, Shiozawa JA and Kan KS (1976) The organization of chlorophyll in the plant photosynthetic unit. Brookhaven Symp Biology 28: 132-148]. In a preparation of PS I particles from Synechococcus sp. strain PCC 6301, which contained 130 ± 5 molecules of antenna chlorophylls per P700, 16 molecules of carotenoids were detected [see, Lundell DJ, Glazer AN, Melis A and Malkin R (1985) Characterization of a cyanobacterial photosystem I complex. J Biol Chem 260: 646-654]. A substantial content of β-carotene and the xanthophylls cryptoxanthin and isocryptoxanthin were detected in PS I pigmentprotein complexes of the thermophilic cyanobacterium Synechococcus elongatus [see, Coufal J, Hladik J and Sofrova D (1989) The carotenoid content of photosystem 1 pigment-protein complexes of the cyanobacterium Synechococcus elongatus. Photosynthetica 23: 603-616]. A subunit protein-complex structure of PS I from the thermophilic cyanobacterium Synechococcus sp., which consisted of four polypeptides (of 62, 60, 14 and 10 kDa), contained approximately 10 βcarotene molecules per P700 [see, Takahashi Y, Hirota K and Katoh S (1985) Multiple forms of P700-chlorophyll a-protein complexes from Synechococcus sp.: the iron, quinone and carotenoid contents. Photosynth Res 6: 183-192]. This carotenoid is exclusively bound to the large polypeptides which carry the functional and antenna chlorophyll a. The fluorescence excitation spectrum of these complexes suggested that β-carotene serves as an efficient antenna for PS I.

As mentioned, an additional essential function of carotenoids is to protect against photooxidation processes in the photosynthetic apparatus that are caused by the excited triplet state of chlorophyll. Carotenoid molecules with π -electron conjugation of nine or more carbon-carbon double bonds can absorb triplet-state energy from chlorophyll and thus prevent the formation of harmful singlet-state oxygen radicals. In *Synechococcus* sp. the triplet state of carotenoids was

monitored in closed PS II centers and its rise kinetics of approximately 25 nanoseconds is attributed to energy transfer from chlorophyll triplets in the antenna [see, Schlodder E and Brettel K (1988) Primary charge separation in closed photosystem II with a lifetime of 11 nanoseconds. Flash-absorption spectroscopy with oxygen-evolving photosystem II complexes from *Synechococcus*. Biochim Biophys Acta 933: 22-34]. It is conceivable that this process, that has a lower yield compared to the yield of radical-pair formation, plays a role in protecting chlorophyll from damage due to over-excitation.

10

25

30

35

The protective role of carotenoids *in vivo* has been elucidated through the use of bleaching herbicides such as norflurazon that inhibit carotenoid biosynthesis in all organisms performing oxygenic photosynthesis [reviewed by Sandmann G and Boger P (1989) Inhibition of carotenoid biosynthesis by herbicides. In: Boger P and Sandmann G (Eds.) Target Sites of Herbicide Action, pp 25-44. CRC Press, Boca Raton, Florida]. Treatment with norflurazon in the light results in a decrease of both carotenoid and chlorophyll levels, while in the dark, chlorophyll levels are unaffected. Inhibition of photosynthetic efficiency in cells of *Oscillatoria agardhii* that were treated with the pyridinone herbicide, fluridone, was attributed to a decrease in the relative abundance of myxoxanthophyll, zeaxanthin and β-carotene, which in turn caused photooxidation of chlorophyll molecules [see, Canto de Loura I, Dubacq JP and Thomas JC (1987) The effects of nitrogen deficiency on pigments and lipids of cianobacteria. Plant Physiol 83: 838-843].

It has been demonstrated in plants that zeaxanthin is required to dissipate, in a nonradiative manner, the excess excitation energy of the antenna chlorophyll [see, Demmig-Adams B (1990) Carotenoids and photoprotection in plants: a role for the xanthophyll zeaxanthin. Biochim Biophys Acta 1020: 1-24; and, Demmig-Adams B and Adams WW III (1990) The carotenoid zeaxanthin and high-energystate quenching of chlorophyll fluorescence. Photosynth Res 25: 187-197]. In algae and plants a light-induced deepoxidation of violaxanthin to yield zeaxanthin, is related to photoprotection processes [reviewed by Demmig-Adams B and Adams WW III (1992) Photoprotection and other responses of plants to high light stress. Ann Rev Plant Physiol Plant Mol Biol 43: 599-626]. The light-induced deepoxidation of violaxanthin and the reverse reaction that takes place in the dark, are known as the "xanthophyll cycle" [see, Demmig-Adams B and Adams WW III (1992) Photoprotection and other responses of plants to high light stress. Ann Rev Plant Physiol Plant Mol Biol 43: 599-626]. Cyanobacterial lichens, that do not contain any zeaxanthin and that probably are incapable of radiationless energy dissipation, are sensitive to high light intensity; algal lichens that contain zeaxanthin are more resistant to high-light stress [see, Demmig-Adams B, Adams

5

WW III, Green TGA, Czygan FC and Lange OL (1990) Differences in the susceptibility to light stress in two lichens forming a phycosymbiodeme, one partner possessing and one lacking the xanthophyll cycle. Oecologia 84: 451-456; Demmig-Adams B and Adams WW III (1993) The xanthophyll cycle, protein turnover, and the high light tolerance of sun-acclimated leaves. Plant Physiol 103: 1413-1420; and, Demmig-Adams B (1990) Carotenoids and photoprotection in plants: a role for the xanthophyll zeaxanthin. Biochim Biophys Acta 1020: 1-24]. In contrast to algae and plants, cyanobacteria do not have a xanthophyll cycle. However, they do contain ample quantities of zeaxanthin and other xanthophylls that can support photoprotection of chlorophyll.

10

15

20

25

30

35

Several other functions have been ascribed to carotenoids. The possibility that carotenoids protect against damaging species generated by near ultra-violet (UV) irradiation is suggested by results describing the accumulation of β-carotene in a UV-resistant mutant of the cyanobacterium Gloeocapsa alpicola [see, Buckley CE and Houghton JA (1976) A study of the effects of near UV radiation on the pigmentation of the blue-green alga Gloeocapsa alpicola. Arch Microbiol 107: 93-97]. This has been demonstrated more elegantly in Escherichia coli cells that produce carotenoids [see, Tuveson RW and Sandmann G (1993) Protection by cloned carotenoid genes expressed in Escherichia coli against phototoxic molecules activated by near-ultraviolet light. Meth Enzymol 214: 323-330]. Due to their ability to quench oxygen radical species, carotenoids are efficient antioxidants and thereby protect cells from oxidative damage. This function of carotenoids is important in virtually all organisms [see, Krinsky NI (1989) Antioxidant functions of carotenoids. Free Radical Biol Med 7: 617-635; and, Palozza P and Krinsky NI (1992) Antioxidant effects of carotenoids in vivo and in vitro - an overview. Meth Enzymol 213: 403-420]. Other cellular functions could be affected by carotenoids, even if indirectly. Although carotenoids in cyanobacteria are not the major photoreceptors for phototaxis, an influence of carotenoids on phototactic reactions, that have been observed in Anabaena variabilis, was attributed to the removal of singlet oxygen radicals that may act as signal intermediates in this system [see, Nultsch W and Schuchart H (1985) A model of the phototactic reaction chain of cyanobacterium Anabaena variabilis. Arch Microbiol 142: 180-184].

In flowers and fruits carotenoids facilitate the attraction of pollinators and dispersal of seeds. This latter aspect is strongly associated with agriculture. The type and degree of pigmentation in fruits and flowers are among the most important traits of many crops. This is mainly since the colors of these products

10

15

20

30

35

often determine their appeal to the consumers and thus can increase their market worth.

6

Carotenoids have important commercial uses as coloring agents in the food industry since they are non-toxic [see, Bauernfeind JC (1981) Carotenoids as colorants and vitamin A precursors. Academic Press, London]. The red color of the tomato fruit is provided by lycopene which accumulates during fruit ripening in chromoplasts. Tomato extracts, which contain high content (over 80% dry weight) of lycopene, are commercially produced worldwide for industrial use as food colorant. Furthermore, the flesh, feathers or eggs of fish and birds assume the color of the dietary carotenoid provided, and thus carotenoids are frequently used in dietary additives for poultry and in aquaculture. Certain cyanobacterial species, for example *Spirulina* sp. [see, Sommer TR, Potts WT and Morrissy NM (1990) Recent progress in processed microalgae in aquaculture. Hydrobiologia 204/205: 435-443], are cultivated in aquaculture for the production of animal and human food supplements. Consequently, the content of carotenoids, primarily of β-carotene, in these cyanobacteria has a major commercial implication in biotechnology.

Most carotenoids are composed of a C40 hydrocarbon backbone, constructed from eight C5 isoprenoid units and contain a series of conjugated double bonds. Carotenes do not contain oxygen atoms and are either linear or cyclized molecules containing one or two end rings. Xanthophylls are oxygenated derivatives of carotenes. Various glycosilated carotenoids and carotenoid esters have been identified. The C40 backbone can be further extended to give C45 or C50 carotenoids, or shortened yielding apocarotenoids. Some nonphotosynthetic bacteria also synthesize C30 carotenoids. General background on carotenoids can be found in Goodwin TW (1980) The Biochemistry of the Carotenoids, Vol. 1, 2nd Ed. Chapman and Hall, New York; and in Goodwin TW and Britton G (1988) Distribution and analysis of carotenoids. In: Goodwin TW (ed) Plant Pigments, pp 62-132. Academic Press, New York.

More than 640 different naturally-occurring carotenoids have been so far characterized, hence, carotenoids are responsible for most of the various shades of yellow, orange and red found in microorganisms, fungi, algae, plants and animals. Carotenoids are synthesized by all photosynthetic organisms as well as several nonphotosynthetic bacteria and fungi, however they are also widely distributed through feeding throughout the animal kingdom.

Carotenoids are synthesized *de novo* from isoprenoid precursors only in photosynthetic organisms and some microorganisms, they typically accumulate in

7

10

15

20

25

protein complexes in the photosynthetic membrane, in the cell membrane and in the cell wall.

As detailed in Figure 1, in the biosynthesis pathway of β-carotene, four enzymes convert geranylgeranyl pyrophosphate of the central isoprenoid pathway to β-carotene. Carotenoids are produced from the general isoprenoid biosynthetic pathway. While this pathway has been known for several decades, only recently, and mainly through the use of genetics and molecular biology, have some of the molecular mechanisms involved in carotenoids biogenesis, been elucidated. This is due to the fact that most of the enzymes which take part in the conversion of phytoene to carotenes and xanthophylls are labile, membrane-associated proteins that lose activity upon solubilization [see, Beyer P, Weiss G and Kleinig H (1985) Solubilization and reconstitution of the membrane-bound carotenogenic enzymes from daffodile chromoplasts. Eur J Biochem 153: 341-346; and, Bramley PM (1985) The in vitro biosynthesis of carotenoids. Adv Lipid Res 21: 243-279]. However, solubilization of carotenogenic enzymes from Synechocystis sp. strain PCC 6714 that retain partial activity has been reported [see, Bramley PM and Sandmann G (1987) Solubilization of carotenogenic enzyme of Aphanocapsa. Phytochem 26: 1935-1939]. There is no genuine in vitro system for carotenoid biosynthesis which enables a direct essay of enzymatic activities. A cell-free carotenogenic system has been developed [see, Clarke IE, Sandmann G, Bramley PM and Boger P (1982) Carotene biosynthesis with isolated photosynthetic membranes. FEBS Lett 140: 203-206] and adapted for cyanobacteria [see, Sandmann G and Bramley PM (1985) Carotenoid biosynthesis by Aphanocapsa homogenates coupled to a phytoene-generating system from Phycomyces blakesleeanus. Planta 164: 259-263; and, Bramley PM and Sandmann G (1985) In vitro and in vivo biosynthesis of xanthophylls by the cyanobacterium Aphanocapsa. Phytochem 24: 2919-2922]. Reconstitution of phytoene desaturase from Synechococcus sp. strain PCC 7942 in liposomes was achieved following purification of the polypeptide, that had been expressed in Escherichia coli [see, Fraser PD, Linden H and Sandmann G (1993) Purification and reactivation of recombinant Synechococcus phytoene desaturase from an overexpressing strain of Escherichia coli. Biochem J 291: 687-692].

Referring now to Figure 1, carotenoids are synthesized from isoprenoid precursors. The central pathway of isoprenoid biosynthesis may be viewed as beginning with the conversion of acetyl-CoA to mevalonic acid. D³-isopentenyl pyrophosphate (IPP), a C₅ molecule, is formed from mevalonate and is the building block for all long-chain isoprenoids. Following isomerization of IPP to dimethylallyl pyrophosphate (DMAPP), three additional molecules of IPP are

8

combined to yield the C₂₀ molecule, geranylgeranyl pyrophosphate (GGPP). These 1'-4 condensation reactions are catalyzed by prenyl transferases [see, Kleinig H (1989) The role of plastids in isoprenoid biosynthesis. Ann Rev Plant Physiol Plant Mol Biol 40: 39-59]. There is evidence in plants that the same enzyme, GGPP synthase, carries out all the reactions from DMAPP to GGPP [see, Dogbo O and Camara B (1987) Purification of isopentenyl pyrophosphate isomerase and geranylgeranyl pyrophosphate synthase from *Capsicum* chromoplasts by affinity chromatography. Biochim Biophys Acta 920: 140-148; and, Laferriere A and Beyer P (1991) Purification of geranylgeranyl diphosphate synthase from *Sinapis alba* etioplasts. Biochim Biophys Acta 216: 156-163].

10

15

20

25

30

35

The first step that is specific for carotenoid biosynthesis is the head-to-head condensation of two molecules of GGPP to produce prephytoene pyrophosphate (PPPP). Following removal of the pyrophosphate, GGPP is converted to 15-cisphytoene, a colorless C40 hydrocarbon molecule. This two-step reaction is catalyzed by the soluble enzyme, phytoene synthase, an enzyme encoded by a single gene (crtB), in both cyanobacteria and plants [see, Chamovitz D, Misawa N, Sandmann G and Hirschberg J (1992) Molecular cloning and expression in Escherichia coli of a cyanobacterial gene coding for phytoene synthase, a carotenoid biosynthesis enzyme. FEBS Lett 296: 305-310; Ray JA, Bird CR, Maunders M, Grierson D and Schuch W (1987) Sequence of pTOM5, a ripening related cDNA from tomato. Nucl Acids Res 15: 10587-10588; Camara B (1993) Plant phytoene synthase complex - component 3 enzymes, immunology, and biogenesis. Meth Enzymol 214: 352-365]. All the subsequent steps in the pathway Four desaturation (dehydrogenation) reactions convert occur in membranes. phytoene to lycopene via phytofluene, ζ-carotene, and neurosporene. desaturation increases the number of conjugated double bonds by two such that the number of conjugated double bonds increases from three in phytoene to eleven in lycopene.

Relatively little is known about the molecular mechanism of the enzymatic dehydrogenation of phytoene [see, Jones BL and Porter JW (1986) Biosynthesis of carotenes in higher plants. CRC Crit Rev Plant Sci 3: 295-324; and, Beyer P, Mayer M and Kleinig H (1989) Molecular oxygen and the state of geometric iosomerism of intermediates are essential in the carotene desaturation and cyclization reactions in daffodil chromoplasts. Eur J Biochem 184: 141-150]. It has been established that in cyanobacteria, algae and plants the first two desaturations, from 15-cis-phytoene to ζ -carotene, are catalyzed by a single membrane-bound enzyme, phytoene desaturase [see, Jones BL and Porter JW (1986) Biosynthesis of carotenes in higher plants. CRC Crit Rev Plant Sci 3: 295-

324; and, Beyer P, Mayer M and Kleinig H (1989) Molecular oxygen and the state of geometric iosomerism of intermediates are essential in the carotene desaturation and cyclization reactions in daffodil chromoplasts. Eur J Biochem 184: 141-150]. Since the ζ-carotene product is mostly in the all-trans configuration, a cis-trans isomerization is presumed at this desaturation step. The primary structure of the phytoene desaturase polypeptide in cyanobacteria is conserved (over 65% identical residues) with that of algae and plants [see, Pecker I, Chamovitz D, Linden H, Sandmann G and Hirschberg J (1992) A single polypeptide catalyzing the conversion of phytoene to ζ -carotene is transcriptionally regulated during tomato fruit ripening. Proc Natl Acad Sci USA 89: 4962-4966; Pecker I, Chamovitz D, Mann V, Sandmann G, Boger P and Hirschberg J (1993) Molecular characterization of carotenoid biosynthesis in plants: the phytoene desaturase gene in tomato. In: Murata N (ed) Research in Photosynthesis, Vol III, pp 11-18. Kluwer, Dordrectht]. Moreover, the same inhibitors block phytoene desaturase in the two systems [see, Sandmann G and Boger P (1989) Inhibition of carotenoid biosynthesis by herbicides. In: Boger P and Sandmann G (eds) Target Sites of Herbicide Action, pp 25-44. CRC Press, Boca Raton, Florida]. Consequently, it is very likely that the enzymes catalyzing the desaturation of phytoene and phytofluene in cyanobacteria and plants have similar biochemical and molecular properties, that are distinct from those of phytoene desaturases in other microorganisms. One such a difference is that phytoene desaturases from Rhodobacter capsulatus, Erwinia sp. or fungi convert phytoene to neurosporene, lycopene, or 3,4-dehydrolycopene, respectively.

Desaturation of phytoene in daffodil chromoplasts [see, Beyer P, Mayer M and Kleinig H (1989) Molecular oxygen and the state of geometric iosomerism of intermediates are essential in the carotene desaturation and cyclization reactions in daffodil chromoplasts. Eur J Biochem 184: 141-150], as well as in a cell free system of *Synechococcus* sp. strain PCC 7942 [see, Sandmann G and Kowalczyk S (1989) *In vitro* carotenogenesis and characterization of the phytoene desaturase reaction in *Anacystis*. Biochem Biophys Res Com 163: 916-921], is dependent on molecular oxygen as a possible final electron acceptor, although oxygen is not directly involved in this reaction. A mechanism of dehydrogenase-electron transferase was supported in cyanobacteria over dehydrogenation mechanism of dehydrogenase-monooxygenase [see, Sandmann G and Kowalczyk S (1989) *In vitro* carotenogenesis and characterization of the phytoene desaturase reaction in *Anacystis*. Biochem Biophys Res Com 163: 916-921]. A conserved FAD-binding motif exists in all phytoene desaturases whose primary structures have been analyzed [see, Pecker I, Chamovitz D, Linden H, Sandmann G and Hirschberg J

25

30

10

(1992) A single polypeptide catalyzing the conversion of phytoene to ζ -carotene is transcriptionally regulated during tomato fruit ripening. Proc Natl Acad Sci USA 89: 4962-4966; Pecker I, Chamovitz D, Mann V, Sandmann G, Boger P and Hirschberg J (1993) Molecular characterization of carotenoid biosynthesis in plants: the phytoene desaturase gene in tomato. In: Murata N (ed) Research in Photosynthesis, Vol III, pp 11-18. Kluwer, Dordrectht]. The phytoene desaturase enzyme in pepper was shown to contain a protein-bound FAD [see, Hugueney P, Romer S, Kuntz M and Camara B (1992) Characterization and molecular cloning of a flavoprotein catalyzing the synthesis of phytofluene and ζ-carotene in Capsicum chromoplasts. Eur J Biochem 209: 399-407]. Since phytoene desaturase is located in the membrane, an additional, soluble redox component is predicted. This hypothetical component could employ NAD(P)⁺, as suggested [see, Mayer MP. Nievelstein V and Beyer P (1992) Purification and characterization of a oxidoreductase from chromoplasts Narcissus **NADPH** dependent of pseudonarcissus - a redox-mediator possibly involved in carotene desaturation. Plant Physiol Biochem 30: 389-398] or another electron and hydrogen carrier, such as a quinone. The cellular location of phytoene desaturase in Synechocystis sp. strain PCC 6714 and Anabaena variabilis strain ATCC 29413 was determined with specific antibodies to be mainly (85%) in the photosynthetic thylakoid membranes [see, Serrano A, Gimenez P, Schmidt A and Sandmann G (1990) Immunocytochemical localization and functional determination of phytoene desaturase in photoautotrophic prokaryotes. J Gen Microbiol 136: 2465-2469].

In cyanobacteria algae and plants ζ -carotene is converted to lycopene via neurosporene. Very little is known about the enzymatic mechanism, which is predicted to be carried out by a single enzyme [see, Linden H, Vioque A and Sandmann G (1993) Isolation of a carotenoid biosynthesis gene coding for ζ -carotene desaturase from *Anabaena* PCC 7120 by heterologous complementation. FEMS Microbiol Lett 106: 99-104]. The deduced amino acid sequence of ζ -carotene desaturase in *Anabaena* sp. strain PCC 7120 contains a dinucleotide-binding motif that is similar to the one found in phytoene desaturase.

25

30

35

Two cyclization reactions convert lycopene to β -carotene. Evidence has been obtained that in *Synechococcus* sp. strain PCC 7942 [see, Cunningham FX Jr, Chamovitz D, Misawa N, Gantt E and Hirschberg J (1993) Cloning and functional expression in *Escherichia coli* of a cyanobacterial gene for lycopene cyclase, the enzyme that catalyzes the biosynthesis of β -carotene. FEBS Lett 328: 130-138], as well as in plants [see, Camara B and Dogbo O (1986) Demonstration and solubilization of lycopene cyclase from *Capsicum* chromoplast membranes. Plant Physiol 80: 172-184], these two cyclizations are catalyzed by a single enzyme.

11

lycopene cyclase. This membrane-bound enzyme is inhibited by the triethylamine compounds, CPTA and MPTA [see, Sandmann G and Boger P (1989) Inhibition of carotenoid biosynthesis by herbicides. In: Boger P and Sandmann G (eds) Target Sites of Herbicide Action, pp 25-44. CRC Press, Boca Raton, Florida]. Cyanobacteria carry out only the β-cyclization and therefore do not contain εcarotene, δ -carotene and α -carotene and their oxygenated derivatives. The β -ring is formed through the formation of a "carbonium ion" intermediate when the C-1,2 double bond at the end of the linear lycopene molecule is folded into the position of the C-5,6 double bond, followed by a loss of a proton from C-6. No cyclic carotene has been reported in which the 7,8 bond is not a double bond. Therefore, full desaturation as in lycopene, or desaturation of at least half-molecule as in neurosporene, is essential for the reaction. Cyclization of lycopene involves a dehydrogenation reaction that does not require oxygen. The cofactor for this reaction is unknown. A dinucleotide-binding domain was found in the lycopene cyclase polypeptide of Synechococcus sp. strain PCC 7942, implicating NAD(P) or FAD as coenzymes with lycopene cyclase.

10

15

20

25

30

35

The addition of various oxygen-containing side groups, such as hydroxy-, methoxy-, oxo-, epoxy-, aldehyde or carboxylic acid moieties, form the various xanthophyll species. Little is known about the formation of xanthophylls. Hydroxylation of β -carotene requires molecular oxygen in a mixed-function oxidase reaction.

Clusters of genes encoding the enzymes for the entire pathway have been cloned from the purple photosynthetic bacterium Rhodobacter capsulatus [see, Armstrong GA, Alberti M, Leach F and Hearst JE (1989) Nucleotide sequence, organization, and nature of the protein products of the carotenoid biosynthesis gene cluster of Rhodobacter capsulatus. Mol Gen Genet 216: 254-268] and from the nonphotosynthetic bacteria Erwinia herbicola [see, Sandmann G, Woods WS and Tuveson RW (1990) Identification of carotenoids in Erwinia herbicola and in transformed Escherichia coli strain. FEMS Microbiol Lett 71: 77-82; Hundle BS, Beyer P, Kleinig H, Englert H and Hearst JE (1991) Carotenoids of Erwinia herbicola and an Escherichia coli HB101 strain carrying the Erwinia herbicola carotenoid gene cluster. Photochem Photobiol 54: 89-93; and, Schnurr G, Schmidt A and Sandmann G (1991) Mapping of a carotenogenic gene cluster from Erwinia herbicola and functional identification of six genes. FEMS Microbiol Lett 78: 157-162] and Erwinia uredovora [see, Misawa N, Nakagawa M, Kobayashi K, Yamano S, Izawa I, Nakamura K and Harashima K (1990) Elucidation of the Erwinia uredovora carotenoid biosynthetic pathway by functional analysis of gene products in Escherichia coli. J Bacteriol 172: 6704-67121. Two genes, al-3

12

for GGPP synthase [see, Nelson MA, Morelli G, Carattoli A, Romano N and Macino G (1989) Molecular cloning of a *Neurospora crassa* carotenoid biosynthetic gene (*albino-3*) regulated by blue light and the products of the white collar genes. Mol Cell Biol 9: 1271-1276; and, Carattoli A, Romano N, Ballario P, Morelli G and Macino G (1991) The *Neurospora crassa* carotenoid biosynthetic gene (albino 3). J Biol Chem 266: 5854-5859] and *al-1* for phytoene desaturase [see, Schmidhauser TJ, Lauter FR, Russo VEA and Yanofsky C (1990) Cloning sequencing and photoregulation of *al-1*, a carotenoid biosynthetic gene of *Neurospora crassa*. Mol Cell Biol 10: 5064-5070] have been cloned from the fungus *Neurospora crassa*. However, attempts at using these genes as heterologous molecular probes to clone the corresponding genes from cyanobacteria or plants were unsuccessful due to lack of sufficient sequence similarity.

15

25

35

The first "plant-type" genes for carotenoid synthesis enzyme were cloned from cyanobacteria using a molecular-genetics approach. In the first step towards cloning the gene for phytoene desaturase, a number of mutants that are resistant to the phytoene-desaturase-specific inhibitor, norflurazon, were isolated in Synechococcus sp. strain PCC 7942 [see, Linden H, Sandmann G, Chamovitz D, Hirschberg J and Boger P (1990) Biochemical characterization of Synechococcus mutants selected against the bleaching herbicide norflurazon. Pestic Biochem Physiol 36: 46-51]. The gene conferring norflurazon-resistance was then cloned by transforming the wild-type strain to herbicide resistance [see, Chamovitz D, Pecker I and Hirschberg J (1991) The molecular basis of resistance to the herbicide norflurazon. Plant Mol Biol 16: 967-974; Chamovitz D, Pecker I, Sandmann G, Boger P and Hirschberg J (1990) Cloning a gene for norflurazon resistance in cyanobacteria. Z Naturforsch 45c: 482-4861. Several lines of evidence indicated that the cloned gene, formerly called pds and now named crtP, codes for phytoene desaturase. The most definitive one was the functional expression of phytoene desaturase activity in transformed Escherichia coli cells [see, Linden H, Misawa N, Chamovitz D, Pecker I, Hirschberg J and Sandmann G (1991) Functional complementation in Escherichia coli of different phytoene desaturase genes and analysis of accumulated carotenes. Z Naturforsch 46c: 1045-1051; and, Pecker I, Chamovitz D, Linden H, Sandmann G and Hirschberg J (1992) A single polypeptide catalyzing the conversion of phytoene to ζ-carotene is transcriptionally regulated during tomato fruit ripening. Proc Natl Acad Sci USA 89: 4962-4966]. The crtP gene was also cloned from Synechocystis sp. strain PCC 6803 by similar methods [see, Martinez-Ferez IM and Vioque A (1992) Nucleotide sequence of the phytoene desaturase gene from Synechocystis sp. PCC

13

6803 and characterization of a new mutation which confers resistance to the herbicide norflurazon. Plant Mol Biol 18: 981-983].

The cyanobacterial crtP gene was subsequently used as a molecular probe for cloning the homologous gene from an alga [see, Pecker I, Chamovitz D, Mann V. Sandmann G, Boger P and Hirschberg J (1993) Molecular characterization of carotenoid biosynthesis in plants: the phytoene desaturase gene in tomato. In: Murata N (ed) Research in Photosynthesis, Vol III, pp 11-18. Kluwer, Dordrectht] and higher plants [see, Bartley GE, Viitanen PV, Pecker I, Chamovitz D, Hirschberg J and Scolnik PA (1991) Molecular cloning and expression in photosynthetic bacteria of a soybean cDNA coding for phytoene desaturase, an enzyme of the carotenoid biosynthesis pathway. Proc Natl Acad Sci USA 88: 6532-6536; and, Pecker I, Chamovitz D, Linden H, Sandmann G and Hirschberg J (1992) A single polypeptide catalyzing the conversion of phytoene to ζ -carotene is transcriptionally regulated during tomato fruit ripening. Proc Natl Acad Sci USA 89: 4962-4966]. The phytoene desaturases in Synechococcus sp. strain PCC 7942 and Synechocystis sp. strain PCC 6803 consist of 474 and 467 amino acid residues, respectively, whose sequences are highly conserved (74% identities and 86% similarities). The calculated molecular mass is 51 kDa and, although it is slightly hydrophobic (hydropathy index -0.2), it does not include a hydrophobic region which is long enough to span a lipid bilayer membrane. The primary structure of the cyanobacterial phytoene desaturase is highly conserved with the enzyme from the green alga Dunalliela bardawil (61% identical and 81% similar; [see, Pecker I, Chamovitz D, Mann V, Sandmann G, Boger P and Hirschberg J (1993) Molecular characterization of carotenoid biosynthesis in plants: the phytoene desaturase gene in tomato. In: Murata N (ed) Research in Photosynthesis, Vol III, pp 11-18. Kluwer, Dordrectht]) and from tomato [see, Pecker I, Chamovitz D, Linden H, Sandmann G and Hirschberg J (1992) A single polypeptide catalyzing the conversion of phytoene to ζ-carotene is transcriptionally regulated during tomato fruit ripening. Proc Natl Acad Sci USA 89: 4962-4966], pepper [see, Hugueney P, Romer S, Kuntz M and Camara B (1992) Characterization and molecular cloning of a flavoprotein catalyzing the synthesis of phytofluene and ζ-carotene in Capsicum chromoplasts. Eur J Biochem 209: 399-407] and soybean [see, Bartley GE, Viitanen PV, Pecker I, Chamovitz D, Hirschberg J and Scolnik PA (1991) Molecular cloning and expression in photosynthetic bacteria of a soybean cDNA coding for phytoene desaturase, an enzyme of the carotenoid biosynthesis pathway. Proc Natl Acad Sci USA 88: 6532-6536] (62-65% identical and ~79% similar; [see, Chamovitz D (1993) Molecular analysis of the early steps of carotenoid biosynthesis in cyanobacteria: Phytoene synthase and phytoene

14

5

desaturase. Ph.D. Thesis, The Hebrew University of Jerusalem]). The eukaryotic phytoene desaturase polypeptides are larger (64 kDa); however, they are processed during import into the plastids to mature forms whose sizes are comparable to those of the cyanobacterial enzymes.

There is a high degree of structural similarity in carotenoid enzymes of Rhodobacter capsulatus, Erwinia sp. and Neurospora crassa [reviewed in Armstrong GA, Hundle BS and Hearst JE (1993) Evolutionary conservation and structural similarities of carotenoid biosynthesis gene products from photosynthetic and nonphotosynthetic organisms. Meth Enzymol 214: 297-311]. including in the crtI gene-product, phytoene desaturase. As indicated above, a high degree of conservation of the primary structure of phytoene desaturases also exists among oxygenic photosynthetic organisms. However, there is little sequence similarity, except for the FAD binding sequences at the amino termini, between the "plant-type" crtP gene products and the "bacterial-type" phytoene desaturases (crt1 gene products; 19-23% identities and 42-47% similarities). It has been hypothesized that crtP and crtI are not derived from the same ancestral gene and that they originated independently through convergent evolution [see, Pecker I, Chamovitz D, Linden H, Sandmann G and Hirschberg J (1992) A single polypeptide catalyzing the conversion of phytoene to ζ-carotene is transcriptionally regulated during tomato fruit ripening. Proc Natl Acad Sci USA 89: 4962-4966]. This hypothesis is supported by the different dehydrogenation sequences that are catalyzed by the two types of enzymes and by their different sensitivities to inhibitors.

Although not as definite as in the case of phytoene desaturase, a similar distinction between cyanobacteria and plants on the one hand and other microorganisms is also seen in the structure of phytoene synthase. The *crtB* gene (formerly *psy*) encoding phytoene synthase was identified in the genome of *Synechococcus* sp. strain PCC 7942 adjacent to *crtP* and within the same operon [see, Bartley GE, Viitanen PV, Pecker I, Chamovitz D, Hirschberg J and Scolnik PA (1991) Molecular cloning and expression in photosynthetic bacteria of a soybean cDNA coding for phytoene desaturase, an enzyme of the carotenoid biosynthesis pathway. Proc Natl Acad Sci USA 88: 6532-6536]. This gene encodes a 36-kDa polypeptide of 307 amino acids with a hydrophobic index of -0.4. The deduced amino acid sequence of the cyanobacterial phytoene synthase is highly conserved with the tomato phytoene synthase (57% identical and 70% similar; Ray JA, Bird CR, Maunders M, Grierson D and Schuch W (1987) Sequence of pTOM5, a ripening related cDNA from tomato. Nucl Acids Res 15: 10587-10588]) but is less highly conserved with the *crtB* sequences from other

20

25

30

35

bacteria (29-32% identical and 48-50% similar with ten gaps in the alignment). Both types of enzymes contain two conserved sequence motifs also found in prenyl transferases from diverse organisms [see, Bartley GE, Viitanen PV, Pecker I, Chamovitz D, Hirschberg J and Scolnik PA (1991) Molecular cloning and expression in photosynthetic bacteria of a soybean cDNA coding for phytoene desaturase, an enzyme of the carotenoid biosynthesis pathway. Proc Natl Acad Sci USA 88: 6532-6536; Carattoli A, Romano N, Ballario P, Morelli G and Macino G (1991) The Neurospora crassa carotenoid biosynthetic gene (albino 3). J Biol Chem 266: 5854-5859; Armstrong GA, Hundle BS and Hearst JE (1993) Evolutionary conservation and structural similarities of carotenoid biosynthesis gene products from photosynthetic and nonphotosynthetic organisms. Meth Enzymol 214: 297-311; Math SK, Hearst JE and Poulter CD (1992) The crtE gene in Erwinia herbicola encodes geranylgeranyl diphosphate synthase. Proc Natl Acad Sci USA 89: 6761-6764; and, Chamovitz D (1993) Molecular analysis of the early steps of carotenoid biosynthesis in cyanobacteria: Phytoene synthase and phytoene desaturase. Ph.D. Thesis, The Hebrew University of Jerusalem]. It is conceivable that these regions in the polypeptide are involved in the binding and/or removal of the pyrophosphate during the condensation of two GGPP molecules.

The crtO gene encoding ζ -carotene desaturase (formerly zds) was cloned from Anabaena sp. strain PCC 7120 by screening an expression library of cyanobacterial genomic DNA in cells of Escherichia coli carrying the Erwinia sp. crtB and crtE genes and the cyanobacterial crtP gene [see, Linden H, Vioque A and Sandmann G (1993) Isolation of a carotenoid biosynthesis gene coding for ζcarotene desaturase from Anabaena PCC 7120 by heterologous complementation. FEMS Microbiol Lett 106: 99-104]. Since these Escherichia coli cells produce ζ carotene, brownish-red pigmented colonies that produced lycopene could be identified on the yellowish background of cells producing ζ -carotene. predicted ζ-carotene desaturase from Anabaena sp. strain PCC 7120 is a 56-kDa polypeptide which consists of 499 amino acid residues. Surprisingly, its primary structure is not conserved with the "plant-type" (crtP gene product) phytoene desaturases, but it has considerable sequence similarity to the bacterial-type enzyme (crt1 gene product) [see, Sandmann G (1993) Genes and enzymes involved in the desaturation reactions from phytoene to lycopene, (abstract), 10th International Symposium on Carotenoids, Trondheim CL1-2]. It is possible that the cyanobacterial crtQ gene and crtI gene of other microorganisms originated in evolution from a common ancestor.

The crtL gene for lycopene cyclase (formerly lcy) was cloned from Synechococcus sp. strain PCC 7942 utilizing essentially the same cloning strategy

16

as for crtP. By using an inhibitor of lycopene cyclase, 2-(4-methylphenoxy)-triethylamine hydrochloride (MPTA), the gene was isolated by transformation of the wild-type to herbicide-resistance [see, Cunningham FX Jr, Chamovitz D, Misawa N, Gantt E and Hirschberg J (1993) Cloning and functional expression in *Escherichia coli* of a cyanobacterial gene for lycopene cyclase, the enzyme that catalyzes the biosynthesis of β -carotene. FEBS Lett 328: 130-138]. Lycopene cyclase is the product of a single gene product and catalyzes the double cyclization reaction of lycopene to β -carotene. The crtL gene product in *Synechococcus* sp. strain PCC 7942 is a 46-kDa polypeptide of 411 amino acid residues. It has no sequence similarity to the crtY gene product (lycopene cyclase) from Erwinia uredovora or Erwinia herbicola.

10

15

20

25

30

35

The gene for β-carotene hydroxylase (crtZ) and zeaxanthin glycosilase (crtX) have been cloned from Erwinia herbicola [see, Hundle B, Alberti M, Nievelstein V, Beyer P, Kleinig H, Armstrong GA, Burke DH and Hearst JE (1994) Functional assignment of Erwinia herbicola Eho10 carotenoid genes expressed in Escherichia coli. Mol Gen Genet 254: 406-416; Hundle BS, Obrien DA, Alberti M, Beyer P and Hearst JE (1992) Functional expression of zeaxanthin glucosyltransferase from Erwinia herbicola and a proposed diphosphate binding site. Proc Natl Acad Sci USA 89: 9321-9325] and from Erwinia uredovora [see, Misawa N, Nakagawa M, Kobayashi K, Yamano S, Izawa I, Nakamura K and Harashima K (1990) Elucidation of the Erwinia uredovora carotenoid biosynthetic pathway by functional analysis of gene products in Escherichia coli. J Bacteriol 172: 6704-6712].

The ketocarotenoid astaxanthin (3,3'-dihydroxy-β,β-carotene-4,4'-dione) was first described in aquatic crustaceans as an oxidized form of β-carotene. Astaxanthin was later found to be very common in many marine animals and algae. However, only few animals can synthesize astaxanthin *de novo* from other carotenoids and most of them obtain it in their food. In the plant kingdom, astaxanthin occurs mainly in some species of cyanobacteria, algae and lichens. However, it is found rarely also in petals of higher plant species [see, Goodwin TW (1980) The Biochemistry of the carotenoids, Vol. 1. 2nd Ed, Chapman and Hall, London and New York].

The function of astaxanthin as a powerful antioxidant in animals has been demonstrated [see, Miki W (1991) Biological functions and activities of animal carotenoids. Pure Appl Chem 63: 141]. Astaxanthin is a strong inhibitor of lipid peroxidation and has been shown to play an active role in the protection of biological membranes from oxidative injury [see, Palozza P and Krinsky NI (1992) Antioxidant effects of carotenoids in vivo and in vitro - an overview. Methods

17

Enzymol 213: 403-420; and, Kurashige M, Okimasu E, Inove M and Utsumi K (1990) Inhibition of oxidative injury of biological membranes by astaxanthin. Physiol Chem Phys Med NMR 22: 27]. The chemopreventive effects of astaxanthin have also been investigated in which astaxanthin was shown to significantly reduce the incidence of induced urinary bladder cancer in mice [see. Tanaka T, Morishita Y, Suzui M, Kojima T, Okumura A. and Mori H (1994). Chemoprevention of mouse urinary bladder carcinogenesis by the naturally occurring carotenoid astaxanthin. Carcinogenesis 15: 15]. It has also been demonstrated that astaxanthin exerts immunomodulating effects by enhancing antibody production [see, Jyonouchi H, Zhang L and Tomita Y (1993) Studies of immunomodulating actions of carotenoids. II. Astaxanthin enhances in vitro antibody production to T-dependent antigens without facilitating polyclonal B-cell activation. Nutr Cancer 19: 269; and, Jyonouchi H, Hill JR, Yoshifumi T and Good RA (1991) Studies of immunomodulating actions of carotenoids. I. Effects of β-carotene and astaxanthin on murine lymphocyte functions and cell surface marker expression in-vitro culture system. Nutr Cancer 16: 93]. The complete biomedical properties of astaxanthin remain to be elucidated, but initial results suggest that it could play an important role in cancer and tumor prevention, as well as eliciting a positive response from the immune system.

Astaxanthin is the principal carotenoid pigment of salmonids and shrimps and imparts attractive pigmentation in the eggs, flesh and skin [see, Torrisen OJ, Hardy RW, Shearer KD (1989) Pigmentation of salmonid-carotenoid deposition and metabolism in salmonids. Crit Rev Aquatic Sci 1: 209]. The world-wide harvest of salmon in 1991 was approximately 720,000 MT., of which 25-30% were produced in a variety of aquaculture facilities [see, Meyers SP (1994) Developments in world aquaculture, feed formulations, and role of carotenoids. Pure Appl Chem 66: 1069]. This is set to increase up to 460,000 MT. by the year 2000 [see, Bjorndahl T (1990) The Economics of Salmon Aquaculture. Blackwell Scientific, Oxford. pp. 1]. The red coloration of the salmonid flesh contributes to consumer appeal and therefore affects the price of the final product. Animals cannot synthesize carotenoids and they acquire the pigments through the food chain from the primary producers - marine algae and phytoplankton. Those grown in intensive culture usually suffer from suboptimal color. Consequently, carotenoid-containing nourishment is artificially added in aquaculture, at considerable cost to the producer.

20

25

35

Astaxanthin is the most expensive commercially used carotenoid compound (todays-1995 market value is of 2,500-3,500 \$/kg). It is utilized mainly as nutritional supplement which provides pigmentation in a wide variety of aquatic

18

animals. In the Far-East it is used also for feeding poultry to yield a typical pigmentation of chickens. It is also a desirable and effective nontoxic coloring for the food industry and is valuable in cosmetics. Recently it was reported that astaxanthin is a potent antioxidant in humans and thus is a desirable food additive.

5

10

15

20

25

30

35

Natural (3S,3'S) astaxanthin is limited in availability. It is commercially extracted from some crustacea species [see, Torrisen OJ, Hardy RW, Shearer KD (1989) Pigmentation of salmonid-carotenoid deposition and metabolism in Crit Rev Aquatic Sci 1: 209]. The (3R,3'R) stereoisomer of astaxanthin is produced from Phaffia [a yeast specie, see, Andrewes AG, Phaff HJ and Starr MP (1976) Carotenoids of Phaffia rhodozyma, a red-pigmented fermenting yeast. Phytochemistry Vol. 15, pp. 1003-1007]. Synthetic astaxanthin, comprising a 1:2:1 mixture of the (3S,3'S)-, (3S,3'R)- and (3R,3'R)-isomers is now manufactured by Hoffman-La Roche and sold at a high price (ca. \$2,500/Kg) under the name "CAROPHYLL Pink" [see, Mayer H (1994) Reflections on carotenoid synthesis. Pure & Appl Chem, Vol. 66, pp. 931-938]. Recently a novel gene involved in ketocompound biosynthesis, designated crtW was isolated from the marine bacteria Agrobacterium auranticacum and Alcaligenes PC-1 that produce ketocarotenoids such as astaxanthin. When the crtW gene was introduced into engineered Eschrichia coli that accumulated β-carotene due to Erwinia carotenogenic genes, the Escherichia coli transformants synthesized canthaxanthin a precursor in the synthetic pathway of astaxanthin [see, Misawa N, Kajiwara S, Kondo K, Yokoyama A, Satomi Y, Saito T, Miki W and Ohtani T (1995) Canthaxanthin biosynthesis by the conversion of methylene to keto groups in a hydrocarbon β-carotene by a single gene. Biochemical and biophysical research communications Vol. 209, pp. 867-876]. It is therefore desirable to find a relatively inexpensive source of (3S,3'S) astaxanthin to be used as a feed supplement in aquaculture and as a valuable chemical for various other industrial uses.

Although astaxanthin is synthesized in a variety of bacteria, fungi and algae, the key limitation to the use of biological systems for its production is the low yield of and costly extraction methods in these systems compared to chemical synthesis. One way to solve these problems is to increase the productivity of astaxanthin production in biological systems using recombinant DNA technology. This allows for the production of astaxanthin in genetically engineered host which, in the case of a higher plant, is easy to grow and simple to extract. Furthermore, production of astaxanthin in genetically engineered host enables by appropriate host selection to use thus produced astaxanthin in for example aquaculture applications, devoid of the need for extraction.

There is thus a widely recognized need for, and it would be highly advantageous to have, a nucleic acid segment which encodes β -C-4-oxygenase, the enzyme that converts β -carotene to canthaxanthin, as well as recombinant vector molecules comprising a nucleic acid sequence according to the invention, and host cells or transgenic organisms transformed or transfected with these vector molecules or DNA segment for the biotechnological production of (3S,3'S) astaxanthin.

Other features and advantages of the invention will be apparent from the following description and from the claims.

10

15

20

25

30

35

SUMMARY OF THE INVENTION

It is a general object of this invention to provide a biotechnological method for production of (3S,3'S) astaxanthin.

It is a specific object of the invention to provide a peptide having a β -C-4-oxygenase activity and a DNA segment coding for this peptide to enable a biotechnological production of astaxanthin and other xanthophylls.

It is a further object of the invention to provide an RNA segments coding for a polypeptide comprising an amino acid sequence corresponding to above described peptide.

It is yet a further object of the invention to provide a recombinant DNA molecule comprising a vector and the DNA segment as described above.

It is still a further object of the invention to provide a host cell containing the above described recombinant DNA molecule.

It is another object of the invention to provide a host transgenic organism containing the above described recombinant DNA molecule or the above described DNA segment in its cells.

It is still another object of the invention to provide a host transgenic organism which expresses β -C-4-oxygenase activity in chloroplasts and/or chromoplasts-containing tissues.

It is yet another object of the invention to provide a food additive for animal or human consumption comprising the above described host cell or transgenic organism.

It is still another object of the invention to provide a method of producing astaxanthin using the above described host cell or transgenic organism.

It is a further object of the invention to provide a method of producing canthaxanthin, echinenone, cryptoxanthin, isocryptoxanthin hydroxyechinenone, zeaxanthin, adonirubin, and/or adonixanthin using the above described host cell or transgenic organism.

5

10

15

20

25

30

35

Further objects and advantages of the present invention will be clear from the description that follows.

In one embodiment, the present invention relates to a DNA segment coding for a polypeptide comprising an amino acid sequence corresponding to *Haematococcus pluvialis crtO* gene.

In a further embodiment, the present invention relates to an RNA segment coding for a polypeptide comprising an amino acid sequence corresponding to *Haematococcus pluvialis crtO* gene.

In yet another embodiment, the present invention relates to a polypeptide comprising an amino acid sequence corresponding to a *Haematococcus pluvialis* crtO gene.

In a further embodiment, the present invention relates to a recombinant DNA molecule comprising a vector and a DNA segment coding for a polypeptide, corresponding to a *Haematococcus pluvialis crtO* gene.

In another embodiment, the present invention relates to a host cell containing the above described recombinant DNA molecule or DNA segment.

In a further embodiment, the present invention relates to a host transgenic organism containing the above described recombinant DNA molecule or the above described DNA segment in its cells.

In another embodiment, the present invention relates to a method of producing astaxanthin using the above described host cell or transgenic organism.

In yet another embodiment, the present invention relates to a method of producing other xanthophylls.

In still another embodiment, the present invention relates to a method of obtaining high expression of a transgene in plants specifically in chromoplasts-containing cells.

In one further embodiment, the present invention relates to a method of importing a carotenoid-biosynthesis enzyme encoded by a transgene into chromoplasts.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention herein described, by way of example only, with reference to the accompanying drawings, wherein:

FIG. 1 is a general biochemical pathway of β -carotene biosynthesis, in which pathway all molecules are depicted in an all-trans configuration, wherein IPP is isopentenyl pyrophosphate, DMAPP is dimethylallyl pyrophosphate, GPP is geranyl pyrophosphate, FPP is farnesyl pyrophosphate, GGPP is geranyl pyrophosphate and, PPPP is prephytoene pyrophosphate;

21

FIG. 2 is an identity map between the nucleotide sequence of the *crtO* cDNA of the present invention (CRTOA.SEQ) and the cDNA cloned by Kajiwara *et al.*, (CRTOJ.SEQ) [see, Kajiwara S, Kakizono T, Saito T, Kondo K, Ohtani T, Nishio N, Nagai S and Misawa N (1995) Isolation and functional identification of a novel cDNA for astaxanthin biosynthesis from *Haematococcus pluvialis*, and astaxanthin synthesis in *Escherichia coli*. Plant Molec Biol 29: 343-352], using a GCG software, wherein (:) indicate identity, (-) indicate a gap and nucleotides numbering is according to SEQ ID NO:4 for CRTOA.AMI and Kajiwara *et al.*, for CRTOJ.AMI;

FIG. 3 is an identity map between the amino acid sequence encoded by the crtO cDNA of the present invention (CRTOA.AMI) and the amino acid sequence encoded by the cDNA cloned by Kajiwara et al., (CRTOJ.AMI) [see, Kajiwara S, Kakizono T, Saito T, Kondo K, Ohtani T, Nishio N, Nagai S and Misawa N (1995) Isolation and functional identification of a novel cDNA for astaxanthin biosynthesis from Haematococcus pluvialis, and astaxanthin synthesis in Escherichia coli. Plant Molec Biol 29: 343-352], using a GCG software, wherein (:) indicate identity, (-) indicate a gap and amino acids numbering is according to SEQ ID NO:4 for CRTOA.AMI and Kajiwara et al., for CRTOJ.AMI;

10

20

25

30

FIG. 4 is a schematic depiction of a pACYC184 derived plasmid designated pBCAR and includes the genes *crtE*, *crtB*, *crtI* and *crtY* of *Erwinia herbicola*, which genes are required for production of β-carotene in *Escherichia coli* cells;

FIG. 5 is a schematic depiction of a pACYC184 derived plasmid designated pZEAX and includes the genes crtE, crtB, crtI, crtY and crtZ from Erwinia herbicola, which genes are required for production of zeaxanthin in Escherichia coli cells;

FIG. 6 is a schematic depiction of a pBluescriptSK- derived plasmid designated pHPK, containing a full length cDNA insert encoding a β-carotene C-4-oxygenase enzyme from *Haematococcus pluvialis*, designated *crtO* and set forth in SEQ ID NO:1, which cDNA was identified by color complementation of *Escherichia coli* cells;

FIG. 7 is a schematic depiction of a pACYC184 derived plasmid designated pCANTHA which was derived by inserting a 1.2 kb *PstI-PstI* DNA fragment, containing the cDNA encoding the β-C-4-oxygenase from *Haematococcus pluvialis* isolated from the plasmid pHPK of Figure 6 and inserted into a *PstI* site in the coding sequence of the *crtZ* gene in the plasmid pZEAX of Figure 5; this recombinant plasmid carries the genes *crtE*, *crtB*, *crtI*, *crtY* of *Erwinia herbicola* and the *crtO* gene of *Haematococcus pluvialis*, all required for production of canthaxanthin in *Escherichia coli* cells;

22

FIG. 8 is a schematic depiction of a pACYC184 derived plasmid designated pASTA which was derived by inserting the 1.2 kb *PstI-PstI* DNA fragment, containing the cDNA of the β-C-4-oxygenase from *Haematococcus pluvialis* isolated from the plasmid pHPK of Figure 6 and inserted into a *PstI* site which exists 600 bp downstream of the *crtE* gene in the plasmid pZEAX of Figure 5; this recombinant plasmid carries the genes *crtE*, *crtB*, *crtI*, *crtY*, *crtZ* of *Erwinia herbicola* and the *crtO* gene of *Haematococcus pluvialis*, all required for production of astaxanthin in *Escherichia coli* cells;

FIG. 9 is a schematic depiction of a pBR328 derived plasmid designated PAN3.5-KETO which was derived by inserting the 1.2 kb *PstI-PstI* DNA fragment, containing the cDNA of the β-C-4-oxygenase from *Haematococcus pluvialis* isolated from the plasmid pHPK of Figure 6 and inserted into a *PstI* site which exists in a β-lactamase gene in a plasmid designated pPAN35D5 [described in Hirschberg J, Ohad N, Pecker I and Rahat A (1987) Isolation and characterization of herbicide resistant mutants in the cyanobacterium *Synechococcus* R2. Z. Naturforsch 42c: 102-112], which carries the *psbAI* gene from the cyanobacterium *Synechococcus* PCC7942 in the plasmid vector pBR328 [see, Hirschberg J, Ohad N, Pecker I and Rahat A (1987) Isolation and characterization of herbicide resistant mutants in the cyanobacterium *Synechococcus* R2. Z. Naturforsch 42c: 102-112]; this recombinant plasmid carries the *crtO* gene of *Haematococcus pluvialis*, required for production of astaxanthin in *Synechococcus* PCC7942 cells;

10

15

25

30

FIG. 10 is a schematic depiction of the T-DNA region of a Ti binary plasmid (E. coli, Agrobacterium) designated pBIB [described by Becker D (1990) Binary vectors which allow the exchange of plant selectable markers and reporter genes. Nucleic Acids Research 18:230] which is a derivative of the Ti plasmid pBI101 [described by Jeffesrson AR, Kavanagh TA and Bevan WM (1987) GUS fusions: β-glucuronidase as a sensitive and versatile gene fusion marker in higher plants. The EMBO J. 6: 3901-3907], wherein B_R and B_L are the right and left borders, respectively, of the T-DNA region, pAg7 is the polyadenylation site of gene 7 of Agrobacterium Ti-plasmid, pAnos is a 250 bp long DNA fragment containing the poly adenylation site of the nopaline synthase gene of Agrobacterium, NPT II is a 1,800 bp long DNA fragment containing the promoter sequence of the nopaline synthase gene of Agrobacterium, whereas pAnos is a 300 bp long DNA fragment containing the promoter sequence of the nopaline synthase gene of Agrobacterium;

2

FIG. 11 is a schematic depiction of the T-DNA region of a Ti binary plasmid (E. coli, Agrobacterium) designated pPTBIB which was prepared by cloning a genomic DNA sequence of a tomato species Lycopersicon esculentum marked PT (nucleotides 1 to 1448 of the Pds gene as published in Mann V, Pecker I and Hirschberg J (1994) cloning and characterization of the gene for phytoene desaturase (Pds) from tomato (Lycopersicon esculentum). Plant Molecular Biology 24: 429-434), which contains the promoter of the Pds gene and the coding sequence for the amino terminus region of the polypeptide PDS that serve as a transit peptide for import into chloroplasts and chromoplasts, into a HindIII-SmaI site of the binary plasmid vector pBIB of Figure 10, wherein B_R and B_L, pAg7, pAnos, NPT II, pnos and pAnos are as defined above;

FIG. 12 is a schematic depiction of the T-DNA region of a Ti binary plasmid (*E. coli*, *Agrobacterium*) designated pPTCRTOBIB which was prepared by cloning a 1,110 nucleotide long *Eco*47III-*Nco*I fragment of the cDNA of *crtO* from *H. pluvialis* (nucleotides 211 to 1321 of SEQ ID NO:1) into the *Sma*I site of the plasmid pPTBIB of Figure 11, such that the coding nucleotide sequence of the amino terminus of *PDS* is in the same reading frame of *crtO*, wherein B_R and B_L, pAg7, pAnos, NPT II, pnos, and pAnos are as defined above, PT is the promoter and transit peptide coding sequences of *Pds* from tomato and CRTO is the nucleotide sequence of *crtO* from *H. pluvialis* (nucleotides 211 to 1321 of SEQ ID NO:1);

FIG. 13 shows a Southern DNA blot analysis of *Hind*III-digested genomic DNA extracted from wild type (WT) and *crtO* tobacco transgenic plants, designated 2, 3, 4, 6, 9 and 10, according to the present invention, using the *crtO* cDNA as a radioactive probe essentially as described in Sambrook *et al.*, Molecular Cloning; A Laboratory Manual. Cold Spring Harbor Laboratory, Cold Spring Harbor, N.Y. 1989, wherein the size of marker (M) DNA fragments in kilobase pairs (kb) is indicated on the left as well as the expected position (arrow) of an internal T-DNA *Hind*III fragment as was deduced from the sequence of pPTPDSBIB shown in Figure 12 which contain the *crtO* cDNA sequence;

FIG. 14 shows a biosynthesis pathway of astaxanthin;

FIG. 15 shows a flower from a wild type tobacco plant and a flower from a transgenic tobacco plant according to the present invention.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

20

25

30

35

The present invention is, in general, of a biotechnological method for production of (3S,3'S) astaxanthin. In particular, the present invention is of a

peptide having a β -C-4-oxygenase activity; a DNA segment coding for this peptide; an RNA segments coding for this peptide; a recombinant DNA molecule comprising a vector and the DNA segment; a host cell or organism containing the above described recombinant DNA molecule or DNA segment; and of a method for biotechnologically producing (3S,3'S) astaxanthin or a food additive containing (3S,3'S) astaxanthin, using the host.

The unicellular fresh-water green alga *Haematococcus pluvialis* accumulates large amounts of (3S,3'S) astaxanthin when exposed to unfavorable growth conditions, or following different environmental stresses such as phosphate or nitrogen starvation, high concentration of salt in the growth medium or high light intensity [see, Yong YYR and Lee YK (1991) Phycologia 30 257-261; Droop MR (1954) Arch Microbiol 20: 391-397; and, Andrewes A.G, Borch G, Liaaen-Jensen S and Snatzke G.(1974) Acta Chem Scand B28: 730-736]. During this process, the vegetative cells of the alga form cysts and change their color from green to red. The present invention discloses the cloning of a cDNA from *Haematococcus pluvialis*, designated *crtO*, which encodes a β-C-4-oxygenase, the enzyme that converts β-carotene to canthaxanthin, and its expression in a heterologous systems expressing β-carotene hydroxylase (e.g., *Erwinia herbicola crtZ* gene product), leading to the production of (3S,3'S) astaxanthin.

The crtO cDNA and its encoded peptide having a β -C-4-oxygenase activity are novel nucleic and amino acid sequences, respectively. The cloning method of the crtO cDNA took advantage of a strain of $Escherichia\ coli$, which was genetically engineered to produce β -carotene, to which a cDNA library of $Haematococcus\ pluvialis$ was transfected and expressed. Visual screening for brown-red pigmented $Escherichia\ coli$ cells has identified a canthaxanthin producing transformant. Thus cloned cDNA has been expressed in two heterologous systems ($Escherichia\ coli\$ and $Synechococcus\$ PCC7942 cells) both able to produce β -carotene and further include an engineered ($Erwinia\ herbicola\ crtZ$ gene product) or endogenous β -carotene hydroxylase activity, and was shown to enable the production of (3S,3'S) astaxanthin in both these systems.

The *crtO* cDNA or its protein product exhibit no meaningful nucleic- or amino acid sequence similarities to the nucleic- or amino acid sequence of *crtW* and its protein product isolated from the marine bacteria *Agrobacterium auranticacum* and *Alcaligenes* PC-1 that produce ketocarotenoids such as astaxanthin [see, Misawa N, Kajiwara S, Kondo K, Yokoyama A, Satomi Y, Saito T, Miki W and Ohtani T (1995) Canthaxanthin biosynthesis by the conversion of methylene to keto groups in a hydrocarbon β-carotene by a single gene. Biochemical and biophysical research communications Vol. 209, pp. 867-876].

25

However, the *crtO* cDNA and its protein product exhibit substantial nucleic- and amino acid sequence identities with the nucleic- and amino acid sequence of a recently cloned cDNA encoding a 320 amino acids protein product having β-carotene oxygenase activity, isolated from *Haematococcus pluvialis* [see, Kajiwara S, Kakizono T, Saito T, Kondo K, Ohtani T, Nishio N, Nagai S and Misawa N (1995) Isolation and functional identification of a novel cDNA for astaxanthin biosynthesis from *Haematococcus pluvialis*, and astaxanthin synthesis in *Escherichia coli*. Plant Molec Biol 29: 343-352]. Nevertheless, as presented in Figure 2 the degree of sequence identity between the *crtO* cDNA (CRTOA.SEQ in Figure 2) and the cDNA described by Kajiwara *et al.* (CRTOJ.SEQ in Figure 2) [see reference above] is 75.7% and, as presented in Figure 3 the degree of sequence identity between the *crtO* cDNA protein product (CRTOA.AMI in Figure 3) and the protein described by Kajiwara *et al.* (CRTOJ.AMI in Figure 3) is 78%, as was determined using a GCG software.

10

15

20

25

30

35

As will be described in details hereinbelow, the *crtO* cDNA can thus be employed to biotechnologically produce (3S,3'S) astaxanthin in systems which are either easy to grow and can be used directly as an additive to fish food, or systems permitting a simple and low cost extraction procedure of astaxanthin.

In one embodiment, the present invention relates to a DNA segment coding for a polypeptide comprising an amino acid sequence corresponding to *Haematococcus pluvialis crtO* gene and allelic and species variations and functional naturally occurring and/or man-induced variants thereof. The phrase 'allelic and species variations and functional naturally occurring and/or man-induced variants' as used herein and in the claims below refer to the source of the DNA (or RNA as described below) or means known in the art for obtaining it. However the terms 'variation' and 'variants' indicate the presence of sequence dissimilarities (i.e., variations). It is the intention herein and in the claims below that the sequence variations will be 77-80%, preferably 80-85%, more preferably 85-90%, most preferably 90-100% of identical nucleotides. In a preferred embodiment the DNA segment comprises the sequence set forth in SEQ ID NO:1. In another preferred embodiment, the DNA segment encodes the amino acid sequence set forth in SEQ ID NO:4.

The invention also includes a pure DNA segment characterized as including a sequence which hybridizes under high stringency conditions [e.g., as described in Sambrook et al., Molecular Cloning; A Laboratory Manual. Cold Spring Harbor Laboratory, Cold Spring Harbor, N.Y. 1989] to a nucleic acid probe which includes at least fifteen, preferably at least fifty, more preferably at least hundred, even more preferably at least five

26

hundred successive nucleotides of SEQ ID NO:1 or SEQ ID NO:2. Alternatively, the DNA segment of the invention may be characterized as being capable of hybridizing under low-stringent conditions to a nucleic acid probe which includes the coding sequence (nucleotides 166 through 1152) of SEQ ID NO:1 or SEQ ID NO:2. An example of such low-stringency conditions is as described in Sambrook et al., using a lower hybridization temperature, such as, for example, 20°C below the temperature employed for high-stringency hybridization conditions, as described above.

The DNA segment of the invention may also be characterized as being capable of hybridizing under high-stringent conditions to a nucleic acid probe which includes the coding sequence (nucleotides 166 through 1152) of SEQ ID NO:1 or SEQ ID NO:2.

10

15

20

25

35

The invention also includes a synthetically produced oligonucleotide (e.g., oligodeoxyribonucleotide or oligoribonucleotide and analogs thereof) capable of hybridizing with at least ten-nucleotide segments of SEQ ID NO:1 or SEQ ID NO:2.

In another embodiment, the present invention relates to an RNA segment coding for a polypeptide comprising an amino acid sequence corresponding to *Haematococcus pluvialis crtO* gene and allelic and species variations and functional naturally occurring and/or man-induced variants thereof. In a preferred embodiment the RNA segment comprises the sequence set forth in SEQ ID NO:2. In another preferred embodiment, the RNA segment encodes the amino acid sequence set forth in SEQ ID NO:4.

The invention also includes a pure RNA characterized as including a sequence which hybridizes under high stringent conditions to a nucleic acid probe which includes at least at least fifteen, preferably at least fifty, more preferably at least hundred, even more preferably at least two hundred, even more preferably at least five hundred successive nucleotides of SEQ ID NO:1 or SEQ ID NO:2. Alternatively, the RNA of the invention may be characterized as being capable of hybridizing under low-stringent conditions to a nucleic acid probe which includes the coding sequence (nucleotides 166 through 1152) of SEQ ID NO:1 or SEQ ID NO:2. Additionally, the RNA of the invention may be characterized as being capable of hybridizing under high-stringent conditions to a nucleic acid probe which includes the coding sequence (nucleotides 166 through 1152) of SEQ ID NO:1 or SEQ ID NO:1 or SEQ ID NO:2.

In another embodiment, the present invention relates to a polypeptide comprising an amino acid sequence corresponding to a *Haematococcus pluvialis* crtO gene and allelic, species variations and functional naturally occurring and/or

27

man-induced variants thereof. In a preferred embodiment, the polypeptide comprises the amino acid sequence set forth in SEQ ID NO:4.

It should be noted that the invention includes any peptide which is homologous (i.e., 80-85%, preferably 85-90%, more preferably 90-100% of identical amino acids) to the above described polypeptide. The term 'homologous' as used herein and in the claims below, refers to the sequence identity between two peptides. When a position in both of the two compared sequences is occupied by identical amino acid monomeric subunits, it is homologous at that position. The homology between two sequences is a function of the number of homologous positions shared by the two sequences. For example, if eight of ten of the positions in two sequences are occupied by identical amino acids then the two sequences are 80% homologous.

Other polypeptides which are also included in the present invention are allelic variations, other species homologs, natural mutants, induced mutants and peptides encoded by DNA that hybridizes under high or low stringency conditions (see above) to the coding region (nucleotides 166 through 1152) of SEQ ID NO:1 or SEQ ID NO:2.

15

35

In another embodiment, the present invention relates to a recombinant DNA molecule comprising a vector (for example plasmid or viral vector) and a DNA segment coding for a polypeptide, as described above. In a preferred embodiment, the DNA segment is present in the vector operably linked to a promoter.

In a further embodiment, the present invention relates to a host cell containing the above described recombinant DNA molecule or DNA segment. Suitable host cells include prokaryotes (such as bacteria, including Escherichia coli) and both lower eukaryotes (for example yeast) and higher eukaryotes (for example, algae, plant or animal cells). Introduction of the recombinant molecule into the cell can be effected using methods known in the art such as, but not limited to, transfection, transformation, micro-injection, gene bombardment etc. The cell thus made to contain the above described recombinant DNA molecules may be grown to form colonies or may be made to differentiate to form a differentiated organism. The recombinant DNA molecule may be transiently contained (e.g., by a process known in the art as transient transfection) in the cell, nevertheless, it is preferred that the recombinant DNA molecule is stably contained (e.g., by a process known in the art as stable transfection) in the cell. Yet in a preferred embodiment the cell is endogenously producing, or is made by genetic engineering means to produce, β-carotene, and the cell contains endogenous or genetically engineered \(\beta\)-carotene hydroxylase activity. Such a cell may be used as a food additive for animal (e.g., salmon) and human consumption. Furthermore,

28

such a cell may be used for extracting astaxanthin and/or other xanthophylls, as described hereinbelow.

In a further embodiment, the present invention relates to a host transgenic organism (e.g., a higher plant or animal) containing the above described recombinant DNA molecule or the above described DNA segment in its cells. Introduction of the recombinant molecule or the DNA segment into the host transgenic organism can be effected using methods known in the art. Yet, in a preferred embodiment the host organism is endogenously producing, or is made by genetic engineering means to produce, β -carotene and, also preferably the host organism contains endogenous or genetically engineered β -carotene hydroxylase activity. Such an organism may be used as a food additive for animal (e.g., salmon) and human consumption. Furthermore, such an organism may be used for extracting astaxanthin and/or other xanthophylls, as described hereinbelow.

10

15

20

25

35

In another embodiment, the present invention relates to a method of producing astaxanthin using the above described host cell or transgenic organism. In yet another embodiment, the present invention relates to a method of producing xanthophylls such as canthaxanthin, echinenone, cryptoxanthin, isocryptoxanthin, hydroxyechinenone, zeaxanthin, adonirubin, 3-hydroxyechinenone, 3'-hydroxyechinenone and/or adonixanthin using the above described host cell or transgenic organism. For these purposes provided is a cell or a transgenic organism as described above. The host cell or organism are made to grow under conditions favorable of producing astaxanthin and the above listed additional xanthophylls which are than extracted by methods known in the art.

In yet another embodiment, the present invention relates to a transgenic plant expressing a transgene coding for a polypeptide including an amino acid sequence corresponding to *Haematococcus pluvialis crtO* gene, allelic and species variants or functional naturally occurring or man-induced variants thereof. Preferably the expression is highest in chromoplasts-containing tissues.

In yet another embodiment, the present invention relates to a recombinant DNA vector which includes a first DNA segment encoding a polypeptide for directing a protein into plant chloroplasts or chromoplasts (e.g., derived from the *Pds* gene of tomato) and an in frame second DNA segment encoding a polypeptide including an amino acid sequence corresponding to *Haematococcus pluvialis crtO* gene, allelic and species variants or functional naturally occurring and maninduced variants thereof.

In yet another embodiment, the present invention relates to a recombinant DNA vector which includes a first DNA segment including a promoter highly expressible in plant chloroplasts or chromoplasts-containing tissues (e.g., derived

29

from the *Pds* gene of tomato) and a second DNA segment encoding a polypeptide including an amino acid sequence corresponding to *Haematococcus pluvialis crtO* gene, allelic and species variants or functional naturally occurring and maninduced variants thereof.

5

Reference in now made to the following examples, which together with the above descriptions, illustrate the invention.

EXAMPLES

10

15

20

25

35

The following protocols and experimental details are referenced in the Examples that follow:

Algae and growth conditions. Haematococcus pluvialis (strain 34/7 from the Culture Collection of Algae and Protozoa, Windermere, UK) was kindly provided by Dr. Andrew Young from the Liverpool John Moores University. Suspension cultures of the alga were grown in a liquid medium as described by Nichols and Bold [see, Nichols HW, Bold HC (1964) Trichsarcina polymorpha gen et sp nov J Phycol 1: 34-39]. For induction of astaxanthin biosynthesis cells were harvested, washed in water and resuspended in a nitrogen-depleted medium. The cultures were maintained in 250 ml Erlenmeyer flasks under continuous light (photon flux of 75 µE/m²/s), at 25°C, on a rotary shaker at 80 rpm.

Construction of cDNA library. The construction of a cDNA library from *Haematococcus pluvialis* was described in detail by Lotan and Hirschberg (1995) FEBS letters 364: 125-128. Briefly, total RNA was extracted from algal cells grown for 5 days under nitrogen-depleted conditions (cell color brown-red). Cells from a 50 ml culture were harvested and their RNA content was extracted using Tri reagent (Molecular Research Center, INC.). Poly-An RNA was isolated by two cycles of fractionation on oligo dT-cellulose (Boehringer). The final yield was 1.5% of the total RNA. The cDNA library was constructed in a Uni-ZAPTM XR vector, using a ZAP-cDNA synthesis kit (both from Stratagene). *Escherichia coli* cells of strain XL1-Blue MRF' (Stratagene) were used for amplification of the cDNA library.

Plasmids and Escherichia coli strains. Plasmid pPL376, which contains the genes necessary for carotenoid biosynthesis in the bacterium Erwinia herbicola was obtained from Tuveson [for further details regarding plasmid pPL376 see, Tuveson RW, Larson RA & Kagan J (1988) Role of cloned carotenoid genes expressed in Escherichia coli in protecting against inactivation by near-UV light

30

and specific phototoxic molecules. J Bacteriol 170: 4675-4680]. Cells of Escherichia coli strain JM109 that carry the plasmid pPL376 accumulate the bright yellow carotenoid, zeaxanthin glycoside. In a first step, a 1.1 kb SalI-SalI fragment was deleted from this plasmid to inactivate the gene crtX, coding for zeaxanthin glucosyl transferase. In a second step, partial BamHI cleavage of the plasmid DNA, followed by self ligation, deleted a 0.8 kb fragment which inactivated crtZ, encoding β-carotene hydroxylase. A partial BglII cleavage generated a fragment of 7.4 kb which was cloned in the BamHI site of the plasmid vector pACYC184. As shown in Figure 4, the resulting recombinant plasmid, which carried the genes crtE, crtB, crtI and crtY, was designated pBCAR [Lotan and Hirschberg (1995) FEBS letters 364: 125-128].

10

15

20

25

35

Plasmid pBCAR was transfected into SOLR strain cells of *Escherichia coli* (Stratagene). Colonies that appeared on chloramphenicol-containing Luria Broth (LB) medium [described in Sambrook *et al.*, Molecular Cloning; A Laboratory Manual. Cold Spring Harbor Laboratory, Cold Spring Harbor, N.Y. 1989], carried this plasmid and developed a deep yellow-orange color due to the accumulation of β-carotene.

As shown in Figure 5, an additional plasmid, designated pZEAX, which allows for zeaxanthin synthesis and accumulation in *Escherichia coli* was constructed [this plasmid is described in details in Lotan and Hirschberg (1995) FEBS letters 364: 125-128]. SOLR strain *Escherichia coli* cells were used as a host for the pZEAX plasmid. *Escherichia coli* cells were grown on LB medium (see above), at 37°C in the dark on a rotary shaker at 225 rpm. Ampicillin (50 µ g/ml) and/or chloramphenicol (30 µg/ml) (both from Sigma) were added to the medium for selection of appropriate transformed cells.

As shown in Figure 6, a plasmid, pHPK, containing the full length cDNA of the β-carotene C-4-oxygenase enzyme was identified by color complementation as described by Lotan and Hirschberg (1995) FEBS letters 364: 125-128 (see description herein below). A 1.2 kb *PstI-PstI* DNA fragment, containing the cDNA of the β-C-4-oxygenase from *Haematococcus pluvialis*, was isolated from plasmid pHPK and inserted into a *PstI* site in the coding sequence of the *crtZ* gene in the plasmid pZEAX. This recombinant plasmid was designated pCANTHA and is shown in Figure 7.

The same 1.2 kb *PstI-PstI* fragment was also inserted into a *PstI* site which exists 600 bp downstream of the *crtE* gene in the plasmid pZEAX. The resulting recombinant plasmid was designated pASTA and is shown in Figure 8.

The same 1.2 kb PstI-PstI fragment was also inserted into a PstI site which exists in the β-lactamase gene in the plasmid pPAN35D5 [Hirschberg J, Ohad N,

31

Pecker I and Rahat A (1987) Isolation and characterization of herbicide resistant mutants in the cyanobacterium *Synechococcus* R2. Z. Naturforsch 42c: 102-112], which carries the *psbAI* gene from the cyanobacterium *Synechococcus* PCC7942 in the plasmid vector pBR328 [Hirschberg J, Ohad N, Pecker I and Rahat A (1987) Isolation and characterization of herbicide resistant mutants in the cyanobacterium *Synechococcus* R2. Z. Naturforsch 42c: 102-112]. This plasmid was designated PAN3.5-KETO and is shown in Figure 9. This plasmid was used in the transformation of *Synechococcus* PCC7942 cells following procedures described by Golden [Golden SS (1988) Mutagenesis of cyanobacteria by classical and gene-transfer-based methods. Methods Enzymol 167: 714-727].

10

15

20

25

30

35

Excision of phage library and screening for a β -carotene oxygenase gene. Mass excision of the cDNA library, which was prepared as described hereinabove, was carried out using the ExAssist helper phage (Stratagene) in cells of SOLR strain of *Escherichia coli* that carried the plasmid pBCAR. The excised library in phagemids form was transfected into *Escherichia coli* cells strain XL1-Blue and the cells were plated on LB plates containing 1 mM isopropylthio- β -D-galactosidase (IPTG), 50 µg/ml ampicillin and 30 µg/ml chloramphenicol, in a density that yielded approximately 100-150 colonies per plate. The plates were incubated at 37°C overnight and further incubated for two more days at room temperature. The plates were then kept at 4°C until screened for changes in colony colors.

A plasmid for high expression of crt0 in chromoplasts. As shown in Figures 10-11, a genomic DNA sequence of a tomato species Lycopersicon esculentum (nucleotides 1 to 1448 of the Pds gene [as published in Mann V, Pecker I and Hirschberg J (1994) cloning and characterization of the gene for phytoene desaturase (Pds) from tomato (Lycopersicon esculentum). Plant Molecular Biology 24: 429-434], which contains the promoter of the Pds gene and the coding sequence for the amino terminus region of the polypeptide PDS that serve as a transit peptide for import into chloroplasts and chromoplasts, was cloned into a HindIII-SmaI site of the binary plasmid vector pBIB, [described by Becker D (1990) Binary vectors which allow the exchange of plant selectable markers and reporter genes. Nucleic Acids Research 18:230], shown in Figure 10. The recombinant plasmid was designated pPTBIB and is shown in Figure 11.

As shown in Figure 12, a 1,110 nucleotide long *Eco*47III-*Nco*I fragment, containing the cDNA of *crtO* from *H. pluvialis* (nucleotides 211 to 1321 of SEQ ID NO:1) was sub-cloned into the *Sma*I site of the plasmid pPTBIB (Figure 11) so that the coding nucleotide sequence of the amino terminus of

32

Pds is in the same reading frame as crtO. The recombinant plasmid was designate pPTCRTOBIB.

Formation of transgenic higher plant. The DNA of pPTCRTOBIB was extracted from E. coli cells and was transferred into cells of Agrobacterium tumefaciens strain EHA105 [described by Hood EE, Gelvin SB, Melchers LS and Hoekema A (1993) Transgenic Research 2:208-218] using electroporation as described for E. coli [Dower JW, Miller FJ and Ragdsale WC (1988) High efficiency transformation of E. coli by high voltage electroporation. Nuc. Acids Res. 18: 6127-6145]. Agrobacterium cells were grown at 28 °C in LB medium supplemented with 50 µg/ml streptomycin and 50 μg/ml kanamycin as selective agents. Cells of Agrobacterium carrying pPTCRTOBIB were harvested from a suspension culture at the stationary phase of growth and used for transformation as described by Horsch RB, Fry JE, Hoffmann NL, Eicholtz D, Rogers SG and Fraley RT, A simple and general method for transferring genes into plants. Science (1985) 227:1229-1231; and Jeffesrson AR, Kavanagh TA and Bevan WM (1987) GUS fusions: βglucuronidase as a sensitive and versatile gene fusion marker in higher plants. The EMBO J. 6: 3901-3907.

10

15

20

25

30

35

Leaf explants of *Nicotiana tobaccum* strain NN were infected with the transformed *Agrobacterium* cells and kanamycin-resistant transgenic plants were regenerated according to protocols described by Horsch et al. (1985) and Jefferson et al. (1987) cited above.

With reference now to Figure 13, the presence of the DNA sequence of the crtO gene-construct in the fully developed regenerated plants was determined by DNA Southern blot analysis. To this end DNA was extracted from the leaves [according to a protocol described by Kanazawa and Tsutsumi (1992) Extraction of restrictable DNA from plants of the genus Nelumbo. Plant Molecular Biology Reports 10: 316-318], digested with the endonuclease HindIII, the fragments were size separated by gel electrophoresis and hybridized with radioactively labeled crtO sequence (SEQ ID NO: 1).

It was determined that each transgenic plant that was examined contained at least one copy of the *crtO* DNA sequence, yielding a 1.75 kb band (arrow), originating from an internal *Hind*III-*Hind*III fragment of the T-DNA of pPTCRTOBIB, additional bands originating from partial digestion, additional band/s whose sizes vary, depending on the position of insertion in the plant genome and a 1.0 kb band originating from the tobacco plant itself which therefore also appears in the negative control WT lane.

33

Sequence analysis. DNA sequence analysis was carried out by the dideoxy method [see, Sanger F, Nicklen S & Coulsen AR (1977) DNA sequencing with chain termination inhibitors. Proc Natl Acad Sci USA 74: 5463-5467].

Carotenoids analysis. Aliquots of *Escherichia coli* cells which were grown in liquid in LB medium were centrifuged at 13,000 g for 10 minutes, washed once in water and re-centrifuged. After removing the water the cells were resuspended in 70 μ l of acetone and incubated at 65°C for 15 minutes. The samples were centrifuged again at 13,000 g for 10 minutes and the carotenoid-containing supernatant was placed in a clean tube. The carotenoid extract was blown to dryness under a stream of nitrogen (N₂) gas and stored at -20°C until required for analysis. Carotenoids from plant tissues were extracted by mixing 0.5-1.0 gr of tissue with 100 μ l of acetone followed by incubation at 65°C for 15 minutes and then treating the samples as described above.

High-performance liquid chromatography (HPLC) of the carotenoid extracts was carried out using an acidified reverse-phase C18 column, Spherisorb ODS-2 (silica 5 μ m 4.6 mm x 250 mm) (Phenomenex®). The mobile phase was pumped by triphasic Merck-Hitachi L-6200A high pressure pumps at a flow rate of 1.5 ml/min. The mobile phase consisted of an isocratic solvent system comprised of hexane/dichloromethane/isopropyl alcohol/triethylamine (88.5:10:1.5:0.1, v/v). Peaks were detected at 470 nm using a Waters 996 photodiode-array detector. Individual carotenoids were identified by their retention times and their typical absorption spectra, as compared to standard samples of chemically pure β -carotene, zeaxanthin, echinenone, canthaxanthin, adonirubin and astaxanthin (The latter four were kindly provided by Dr. Andrew Young from Liverpool John Moores University).

15

20

25

30

35

Thin layer chromatography (TLC) was carried out using silica gel 60 F254 plates (Merck), using ethyl acetate/benzene (7:3, v/v) as an eluent. Visible absorption spectra were recorded with a Shimadzu UV-160A spectrophotometer. All spectra were recorded in acetone. Spectral fine structure was expressed in terms of %III/II [Britton, G. (1995). UV/Visible Spectroscopy. In: Carotenoids; Vol IB, Spectroscopy. Eds. Britton G, Liaaen-Jensen S and Pfander H. Birkhauser Verlag, Basel. pp. 13-62].

Isolation and identification of the carotenoids extracted from cells of E. coli are treated in order of increasing adsorption (decreasing R_f values) on silica TLC plates. Carotenoids structure and the biosynthesis pathway of astaxanthin are given in Figure 14. The following details refer to the carotenoids numbered 1 through 9 in Figure 14.

34

β-Carotene (1). R_f 0.92 inseparable from authentic (1). R_t .VIS λ_{max} nm: (428), 452, 457, %III/II = 0.

Echinenone (2). R_f 0.90 inseparable from authentic (2). R_t .VIS λ_{max} nm: 455, %III/II = 0.

Canthaxanthin (3). R_f 0.87. inseparable from authentic (3). R_t .VIS λ_{max} nm: 470, %III/II = 0.

5

10

15

25

30

35

β-Cryptoxanthin (4). R_f 0.83. R_t .VIS λ_{max} nm: (428), 451, 479, %III/II = 0.

Adonirubin (5). R_f 0.82 inseparable from authentic (5). R_t .VIS λ_{max} nm: 476, %III/II = 0.

Astaxanthin (6). R_f 0.79 inseparable from authentic (6). R_f .VIS λ max nm: 477, %III/II = 0.

Adonixanthin (7). $R_f 0.72$. R_t .VIS λ_{max} nm: 464, %III/II = 0.

Zeaxanthin (8). R_f 0.65 inseparable from authentic (8). R_t .VIS λ_{max} nm: (428), 451, 483, %III/II = 27.

Hydroxyechinenone (9). Rf 0.80, Rt, 3.0. VIS λ_{max} nm: 464, %III/II = 0.

Chirality configuration. Chirality configuration of astaxanthin was determined by HPLC of the derived diastereoisomeric camphanates of the astaxanthin [Renstrom B, Borch G, Skulberg M and Liaaen-Jensen S (1981) Optical purity of (3S,3S)-astaxanthin from Haematococcus pluvialis. Phytochem 20: 2561-2565]. The analysis proved that the Escherichia coli cells synthesize pure (3S,3'S) astaxanthin.

EXAMPLE 1 Cloning the β-C-4-oxygenase gene

A cDNA library was constructed in Lambda ZAP II vector from poly-An RNA of *Haematococcus pluvialis* cells that had been induced to synthesize astaxanthin by nitrogen deprivation as described hereinabove. The entire library was excised into β-carotene-accumulating cells of *Escherichia coli*, strain SOLR, which carried plasmid pBCAR (shown in Figure 4). Screening for a β-carotene oxygenase gene was based on color visualization of colonies of size of 3 mm in diameter. Astaxanthin and other oxygenated forms of β-carotene (i.e., xanthophylls) have distinct darker colors and thus can be detected from the yellow β-carotene background. The screening included approximately 100,000 colonies which were grown on LB medium plates containing ampicillin and chloramphenicol that selected for both the Lambda ZAP II vector in its plasmid propagating form and the pBCAR plasmid. Several colonies showed different

5

20

25

30

35

color tones but only one exhibited a conspicuous brown-red pigment. This colony presumed to contain a xanthophyll biosynthesis gene was selected for further analysis described hereinbelow in the following Examples.

EXAMPLE 2

Analysis of the \beta-C-4-oxygenase activity in Escherichia coli

The red-brown colony presumed to contain a xanthophyll biosynthesis gene (see Example 1 above) was streaked and further analyzed. First, the recombinant ZAP II plasmid carrying the cDNA clone that was responsible for xanthophyll synthesis in *Escherichia coli* was isolated by preparing plasmid DNA from the red-brown colony, transfecting it to *Escherichia coli* cells of the strain XL1-Blue and selection on ampicillin-containing medium. This plasmid, designated pHPK (pHPK is a Lambda ZAP II vector containing an insert isolated from the red-brown colony), was used to transform β-carotene-producing *Escherichia coli* cells (*Escherichia coli* SOLR strain that carry the plasmid pBCAR shown in Figure 4) resulting in the formation of red-brown colonies. Carotenoids from this transformant, as well as from the host cells (as control) were extracted by acetone and analyzed by HPLC.

HPLC analysis of carotenoids of the host bacteria which synthesized β -carotene (*Escherichia coli* SOLR strain that carry the plasmid pBCAR shown in Figure 4), as compared with a brown-red colony, revealed that only traces of β -carotene were observed in the transformant cells while a new major peak of canthaxanthin and another minor peak of echinenone appeared [described in detail by Lotan and Hirschberg (1995) FEBS letters 364: 125-128]. These results indicate that the cDNA in plasmid pHPK, designated *crtO* encodes an enzyme with β -C-4-oxygenase activity, which converts β -carotene to canthaxanthin *via* echinenone (see Figure 14). It is, therefore concluded that a single enzyme catalyzes this two-step ketonization conversion by acting symmetrically on the 4 and 4' carbons of the β - and β '-rings of β -carotene, respectively.

EXAMPLE 3 Production of astaxanthin in Escherichia coli cells

To determine whether β -carotene hydroxylase (e.g., a product of the crtZ gene of $Erwinia\ herbicola$) can convert thus produced canthaxanthin to astaxanthin and/or whether zeaxanthin converted from β -carotene by β -carotene hydroxylase can be converted by β -C-4-oxygenase to astaxanthin, the crtO cDNA of

36

Haematococcus pluvialis thus isolated, was expressed in Escherichia coli cells together with the crtZ gene of Erwinia herbicola. For this purpose, Escherichia coli cells of strain SOLR were transfected with either plasmid pASTA alone containing, as shown in Figure 8, both crtZ and crtO or, alternatively with both plasmids, pHPK containing, as shown in Figure 6, crtO, and pZEAX containing, as shown in Figure 5, crtZ. Carotenoids in the resulting transformed cells were extracted and analyzed by HPLC as described above. The results, given in Table 1, show the composition of carotenoids extracted from the cells containing the plasmid pASTA. Similar carotenoid composition is found in Escherichia coli cells which carry both pHPK and pZEAX.

TABLE 1

| | Carotenoid | % of total carotenoid composition |
|----|-----------------|-----------------------------------|
| 15 | | |
| | β-Carotene | 8.0 |
| | Echineone | 1.7 |
| | β-Cryptoxanthin | 4.2 |
| | Canthaxanthin | 4.2 |
| 20 | Zeaxanthin | 57.8 |
| | Adonirubin | 1.0 |
| | Adonixanthin | 17.9 |
| | Astaxanthin | 5.2 |

10

25

30

35

The results presented in Table 1, prove that carotenoids possessing either a β-end group or a 4-keto-β-end group act as substrates for the hydroxylation reactions catalyzed by crtZ gene product at carbons C-3 and C-3'. hydroxylation of B-carotene and canthaxanthin results in the production of zeaxanthin and astaxanthin, respectively. These hydroxylations result in the astaxanthin and the intermediate ketocarotenoids, production of hydroxyechinenone, adonixanthin and adonirubin. These results further demonstrate that astaxanthin can be produced in heterologous cells by expressing the gene crtO together with a gene that codes for a β -carotene hydroxylase.

EXAMPLE 4 Sequence analysis of the gene for β -carotene C-4-oxygenase

The full length, as was determined by the presence of a poly A tail, of the cDNA insert in plasmid pHPK (1771 base pairs) was subjected to nucleotide

37

sequence analysis. This sequence, set forth in SEQ ID NO:1, and its translation to an amino acid sequence set forth in SEQ ID NO:3 (329 amino acids), were deposited in EMBL database on May 1, 1995, and obtained the EMBL accession numbers X86782 and X86783, respectively.

An open reading frame (ORF) of 825 nucleotides (nucleotides 166 through 1152 in SEQ ID NO:3) was identified in this sequence. This ORF codes for the enzyme β-carotene C-4-oxygenase having 329 amino acids set forth in SEQ ID NO:4, as proven by its functional expression in *Escherichia coli* cells (see Example 3 above). The gene for this enzyme was designated *crtO*.

10

15

25

EXAMPLE 5 Transformation of cyanobacteria with crtO

The plasmid DNA of pPAN3.5-KETO, shown in Figure 9, was transfected into cells of the cyanobacterium *Synechococcus* PCC7942 according to the method described by Golden [Golden SS (1988) Mutagenesis of cyanobacteria by classical and gene-transfer-based methods. Methods Enzymol 167: 714-727]. The cyanobacterial cells were plated on BG11 medium-containing petri dishes that contained also chloramphenicol. Colonies of chloramphenicol-resistant *Synechococcus* PCC7942 which appeared after ten days were analyzed for their carotenoid content. As detailed in Table 2 below, HPLC analysis of these cells revealed that the major carotenoid components of the cells was β-carotene, echinenone, canthaxanthin, adonirubin and astaxanthin. A similar analysis of the wild type strain and of *Synechococcus* PCC7942 transfected with a plasmid in which the orientation of the *crtO* gene is reversed (not shown), which is therefore not capable of producing an active protein, did not revealed production of echinenone, canthaxanthin, adonirubin and astaxanthin.

These result prove that crtO of Haematococcus pluvialis can be expressed in cyanobacteria and that its expression provided a β -C-4-oxygenase enzymatic activity needed for the conversion of β -carotene to canthaxanthin. This result further demonstrates that the endogenous β -carotene hydroxylase of Synechococcus PCC7942 is able to convert thus produced canthaxanthin to astaxanthin. Since the carotenoid biosynthesis pathway is similar in all green photosynthetic organism [see Figures 1 and 10 and, Pecker I, Chamovitz D, Linden H, Sandmann G and Hirschberg J (1992) A single polypeptide catalyzing the conversion of phytoene to ζ -carotene is transcriptionally regulated during tomato fruit ripening. Proc Natl Acad Sci USA 89: 4962-4966] it is deduced that astaxanthin can be produced in algae, and higher plants by expressing crtO in any

38

tissue that express also the endogenous β -carotene hydroxylase. It is further deduced that astaxanthin can be produced by any organism provided it contains either endogenous or engineered β -carotene biosynthesis pathway, by expressing crtO in any tissue that express either endogenous or genetically engineered β -carotene hydroxylase.

TABLE 2

| | Carotenoid ` | % of total carotenoid composition |
|----|---------------|-----------------------------------|
| 10 | | |
| | β-Carotene | 31.5 |
| | Echinenone | 18.5 |
| | Canthaxanthin | 16.1 |
| | Zeaxanthin | 22.3 |
| 15 | Adonirubin | 6.0 |
| | Astaxanthin | 5.6 |

EXAMPLE 6

20

25

30

Determining the chirality configuration of astaxanthin produced in heterologous systems

The chirality configurations of astaxanthin produced by *Escherichia coli* cells, as described under Example 3 hereinabove, and by cyanobacterium *Synechococcus* PCC7942 cells, as described in Example 5 hereinabove, were determined by HPLC of the derived diastereoisomeric camphanates of the astaxanthin [Renstrom B, Borch G, Skulberg M and Liaaen-Jensen S (1981) Optical purity of (3S,3S')-astaxanthin from *Haematococcus pluvialis*. Phytochem 20: 2561-2565]. The analysis proved that the *Escherichia coli* and *Synechococcus* PCC7942 cells described above, synthesize pure (3S,3'S) astaxanthin.

EXAMPLE 7 Transformation of a higher plant with crtO

Producing natural astaxanthin in higher plants has two anticipated benefits. First, as a pure chemical, astaxanthin is widely used as feed additive for fish. It is a potential food colorant suitable for humans consumption and has potential applications in the cosmetic industry. Second, inducing astaxanthin biosynthesis *in vivo* in flowers and fruits will provide attractive pink/red colors which will increase their appearance and/or nutritious worth.

39

In flowers and fruits carotenoids are normally synthesized and accumulated to high concentration in chromoplasts, a typical pigment-containing plastids, thus providing typical intense colors to these organs. Inducing synthesis of astaxanthin in chromoplasts enables the accumulation of high concentration of this ketocarotenoid. Over-expression of carotenoid biosynthesis genes which results in elevated concentrations of carotenoids in chloroplasts, or other alterations in carotenoid composition in chloroplasts may damage the thylakoid membranes, impair photosynthesis and thus is deleterious to the plants. In contrast, increase of carotenoid concentration or alteration in carotenoid composition in chromoplasts do not affect the viability of the plant nor the yield of fruits and flowers.

Thus, gene-transfer technology was used to implant the *crtO* gene isolated from the alga *Haematococcus pluvialis*, as described, into a higher plant, in such a way that its expression is up-regulated especially in chromoplast-containing cells.

10

15

20

25

30

35

To this end, a T-DNA containing binary plasmid vector as shown in Figure 12 was assembled in *E. coli* from the promoter and coding DNA sequences of the transit peptide encoded by the *Pds* gene from a tomato species *Lycopersicon esculentum*, linked to the coding DNA sequence of *crtO* from *H. pluvialis*. Upon stable transfer of this DNA construct via *Agrobacterium*-mediated transformation into a tobacco (*Nicotiana tabacum NN*) plant to form a transgenic plant, as described under methods above, the plant acquired the ability to produce ketocarotenoids especially in flower tissues (chromoplast-containing cells). It should be noted that the *Pds* gene promoter is capable of directing transcription and therefore expression especially in chloroplasts and/or chromoplasts-containing tissues of plants. It should be further noted that the transit peptide encoded by part of the *Pds* coding sequence is capable of directing conjugated (i.e., in frame) proteins into plant chromoplasts and/or chloroplasts.

As shown in Figure 15, in chromoplasts-containing cells, such as in the nectary tissue of the flower of tobacco, this DNA construct induces accumulation of astaxanthin and other ketocarotenoids to a higher level which alters the color from the normal yellow to red.

Concentration and composition of carotenoids in chloroplasts-containing tissues, such as leaves, and in chromoplast-containing tissues, such as flowers, were determined in the transgenic plants and compared to normal non-transformed plants.

40

Carotenoids compositions in leaves (chloroplasts-containing tissue) and in the nectary tissue of flowers (chromoplast containing tissue) of wild type and transgenic tobacco plants were determined by thin layer chromatography (TLC) and by high pressure liquid chromatography (HPLC) as described above.

Total carotenoids concentration in leaves (chloroplasts-containing tissue) and in the nectary tissue of flowers (chromoplast containing tissue) of wild type and transgenic tobacco plants are summarized in Tables 3 below.

Percents of carotenoids composition in leaves of wild-type and transgenic tobacco plants are summarized in Tables 4 below.

Percents of carotenoids composition in the nectary tissue of flowers of wild-type and transgenic tobacco plants are summarized in Tables 5 below.

TABLE 3
µg carotenoids per gr fresh weight

| | | Wild-type | Transgenic with crtO |
|----|----------------------------------|-----------|----------------------|
| 20 | Leaf (Chloroplasts) | 200 | 240 |
| | Nectary tissue (Chromoplasts) | 280 | 360 |

25

30

35

10

15

TABLE 4
% of total carotenoids composition in chloroplasts-containing tissue (leaf)
Wild-type Transgenic

| β-carotene | 29.9 | 26.7 |
|--------------------------|------|------|
| neoxanthin | 5.0 | 5.9 |
| violaxanthin | 11.6 | 18.1 |
| antheraxanthin | 4.9 | 2.6 |
| lutein | 43.9 | 41.4 |
| zeaxanthin | 4.7 | 4.3 |
| astaxanthin + adonirubin | 0.0 | 1.0 |

TABLE 5
% of total carotenoid composition in chromoplasts-containing tissue (flower)

| | | Wild-type | Transgenic |
|----|---------------------|-----------|------------|
| 5 | | | |
| | beta-carotene | 58.1 | 21.0 |
| | violaxanthin | 40.3 | 1.5 |
| | lutein | 0.0 | 1.1 |
| | zeaxanthin | 1.6 | 1.0 |
| 10 | hydroxyechinenone | 0.0 | 13.7 |
| | 3'hydroxyechinenone | 0.0 | 4.1 |
| | adonirubin | 0.0 | 22.4 |
| | adonixanthin | 0.0 | 8.7 |
| | astaxanthin | 0.0 | 26.5 |
| | | | |

15

Please note the elevated content of hydroxyechinenone, 3'hydroxyechinenone, adonirubin, adonixanthin and astaxanthin especially in the chromoplast containing tissue of the transgenic tobacco plants.

20

Thus, the present invention successfully addresses the shortcomings of the presently known configurations by enabling a relatively low cost biotechnological production of (3S,3'S) astaxanthin by providing a peptide having a β -C-4-oxygenase activity; a DNA segment coding for this peptide; an RNA segments coding for this peptide; a recombinant DNA molecule comprising a vector and the DNA segment; a host containing the above described recombinant DNA molecule or DNA segment; and of a method for biotechnologically producing (3S,3'S) astaxanthin or a food additive containing (3S,3'S) astaxanthin, using the host.

30

25

While the invention has been described with respect to a limited number of embodiments, it will be appreciated that many variations, modifications and other applications of the invention may be made.

42

SEQUENCE LISTING

| | | | | SEQUENCE LISTING |
|-------|------------|-----------|------------------------------------|--|
| (1) | GENERAL | INFORMATI | ON: | |
| | (i) | APPLICAN' | TS: | Joseph Hirschberg, Tamar Lotan and |
| | | | | Mark Harker |
| | (ii) | TITLE OF | INVENTION: | Polynucleotide molecule from |
| | | | | Haematococcus pluvialis encoding a polypeptide having a β -C-4-oxygenase |
| | | | | activity for biotechnological production of (35,3'S) astaxanthin. |
| | (iii) | NUMBER O | F SEQUENCES: | 4 |
| | (iv) | | NDENCE ADDRESS: | • |
| | **** | | ADDRESSEE: | Mark M. Friedman c/o Robert Sheinbein |
| | | | STREET: | 2940 Birchtree space lane |
| | | (0) | CITY: | Silver Spring |
| | | (D) | STATE: | Maryland |
| | | (E) | COUNTRY: | United States of America |
| | | (F) | ZIP: | 20906 |
| | (v) | COMPUTER | READABLE FORM: | |
| | | (A) | MEDIUM TYPE: | 1.44 megabyte, 3.5" microdisk |
| | | (B) | COMPUTER: | Twinhead Slimnote-890TX |
| | | (C) | OPERATING SYSTEM | 4: MS DOS version 6.2, |
| | | | , | Windows version 3.11 |
| | | • | SOFTWARE: | Word for Windows version 2.0 |
| | (vi) | | APPLICATION DATA | |
| | | | APPLICATION NUM | BER: |
| | | • • | FILING DATE: | |
| | 4 | • • • | CLASSIFICATION: | |
| | (vii) | | PLICATION DATA: APPLICATION NUM | 3ED • |
| | | (B) | FILING DATE: | sur. |
| | (viii) | | /AGENT INFORMATI | ON * |
| | (*****) | (A) | NAME: | Friedmam, Mark M. |
| | | | REGISTRATION NU | |
| | | (C) | REFERENCE/DOCKE | |
| | (ix) | TELECOMM | UNICATION INFORM | ATION: |
| | | (A) | TELEPHONE: | 972-3-5625553 |
| | | (8) | TELEFAX: | 972-3-5625554 |
| | | (0) | TELEX: | |
| (2) | INFORMA | TION FOR | SEQ ID NO:1: | |
| | (i) | SEQUENCE | CHARACTERISTICS | S: |
| | | (A) | LENGTH: | 1771 base pairs |
| | | (B) | TYPE: | nucleic acid |
| | | (C) | STRANDEDNESS: | double |
| | 428 | (D) | TOPOLOGY: | linear |
| | (xî) | SEMOENCE | DESCRIPTION: | SEQ ID NO:1: |
| GGC A | CG AGC TT | G CAC GCA | AGT CAG CGC GCG | CAA GTC AAC ACC TGC CGG 48 |
| TCC A | CA GCC TC. | A AAT AAT | AAA GAG CTC AAG | CGT TTG TGC GCC TCG ACG 96 |
| TGG C | CA GTC TG | C ACT GCC | TTG AAC CCG CGA | GTC TCC CGC CGC ACT GAC 144 |
| TGC C | AT AGC AC | A GCT AGA | CGA ATG CAG CTA | GCA GCG ACA GTA ATG TTG 192 |
| GAG C | AG CTT AC | C GGA AGC | GCT GAG GCÁ CTO | : AAG GAG AAG GAG AAG GAG 240 |
| GTT G | CA GGC AG | C TCT GAC | GTG TTG CGT ACA | TGG GCG ACC CAG TAC TCG 288 |
| CTT | CG TCA GA | A GAG TCA | GAC GCG GCC CGC | CCG GGA CTG AAG AAT GCC 336 |
| TAC A | AG CCA CC | A CCT TCC | GAC ACA AAG GGC | ATC ACA ATG GCG CTA CGT 384 |
| GTC A | ATC GGC TC | C TGG GCC | GCA GTG TTC CTC | CAC GCC ATT TTT CAA ATC 432 |
| | | | | : TGG CTG CCC GTG TCA GAT 480 |
| GCC A | ACA GCT CA | G CTG GTT | AGC GGC ACG AGG | C AGC CTG CTC GAC ATC GTC 528 |
| | | | | |

)

GTA GTA TTC TTT GTC CTG GAG TTC CTG TAC ACA GGC CTT TYT ATC ACC 576 ACG CAT GAT GCT ATG CAT GGC ACC ATC GCC ATG AGA AAC AGG CAG CTT 624 AAT GAC TIC TIG GGC AGA GTA TGC ATC TCC TTG TAC GCC TGG TTT GAT 672 TAC AAC ATG CTG CAC CGC AAG CAT TGG GAG CAC CAC AAC CAC ACT GGC GAG GTG GGC AAG GAC CCT GAC TTC CAC AGG GGA AAC CCT GGC ATT GTG 768 CCC TGG TTT GCC AGC TTC ATG TCC AGC TAC ATG TCG ATG TGG CAG TTT 816 GCG CGC CTC GCA TGG TGG ACG GTG GTC ATG CAG CTG CTG GGT GCG CCA 864 ATG GCG AAC CTG CTG GTG TIC ATG GCG GCC GCG CCC ATC CTG TCC GCC 912 TTC CGC TTG TTC TAC TTT GGC ACG TAC ATG CCC CAC AAG CCT GAG CCT 960 GGC GCC GCG TCA GGC TCT TCA ECA GCC GTC ATG AAC TGG TGG AAG TCG 1008 CGC ACT AGC CAG GCG TCC GAC CTG GTC AGC TTT CTG ACC TGC TAC CAC 1056 TTC GAC CTG CAC TGG GAG CAC CAC CGC TGG CCC TTC GCC CCC TGG TGG 1104 GAG CTG CCC AAC TGC CGC CGC CTG TCT GGC CGA GGT CTG GTT CCT GCC 1152 TAG CTG GAC ACA CTG CAG TGG GCC CTG CTG CCA GCT GGG CAT GCA GGT 1200 TGT GGC AGG ACT GGG TGA GGT GAA AAG CTG CAG GCG CTG CTG CCG GAC 1248 ACG CTG CAT GGG CTA CCC TGT GTA GCT GCC GCC ACT AGG GGA GGG GGT 1296 TTG TAG CTG TCG AGC TTG CCC CAT GGA TGA AGC TGT GTA GTG GTG CAG 1344 GGA GTA CAC CCA CAG GCC AAC ACC CTT GCA GGA GAT GTC TTG CGT CGG GAG GAG TGT TGG GCA GTG TAG ATG CTA TGA TTG TAT CTT AAT GCT GAA 1440 GCC TIT AGG GGA GCG ACA CIT AGT GCT GGG CAG GCA ACG CCC TGC AAG 1488 GTG CAG GCA CAA GCT AGG CTG GAC GAG GAC TCG GTG GCA GGC AGG TGA AGA GGT GCG GGA GGG TGG TGC CAC ACC CAC TGG GCA AGA CCA TGC TGC AAT GCT GGC GGT GTG GCA GTG AGA GCT GCG TGA TTA ACT GGG CTA TGG ATT GTT TGA GCA GTC TCA CTT ATT CTT TGA TAT AGA TAC TGG TCA GGC AGG TCA GGA GAG TGA GTA TGA ACA AGT TGA GAG GTG GTG CGC TGC CCC 1728 TGC GCT TAT GAA GCT GTA ACA ATA AAG TGG TTC 1771

(2) INFORMATION FOR SEQ ID NO:2:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 1771 bases
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

GGC ACG AGC UUG CAC GCA AGU CAG CGC GCG CAA GUC AAC ACC UGC CGG UCC ACA GCC UCA AAU AAA GAG CUC AAG CGU UUG UGC GCC UCG ACG 96 UGG CCA GUC UGC ACU GCC UUG AAC CCG CGA GUC UCC CGC CGC ACU GAC 144 UGC CAU AGC ACA GCU AGA CGA AUG CAG CUA GCA GCG ACA GUA AUG UUG GAG CAG CUU ACC GGA AGC GCU GAG GCA CUC AAG GAG AAG GAG AAG GAG GUU GCA GGC AGC UCU GAC GUG UUG CGU ACA UGG GCG ACC CAG UAC UCG CUU CCG UCA GAA GAG UCA GAC GCG GCC CGC CCG GGA CUG AAG AAU GCC 336 UAC AAG CCA CCA CCU UCC GAC ACA AAG GGC AUC ACA AUG GCG CUA CGU GUC AUC GGC UCC UGG GCC GCA GUG UUC CUC CAC GCC AUU UUU CAA AUC 432 AAG CUU CCG ACC UCC UUG GAC CAG CUG CAC UGG CUG CCC GUG UCA GAU 480 GCC ACA GCU CAG CUG GUU AGC GGC ACG AGC AGC CUG CUC GAC AUC GUC 528 GUA GUA UUC UUU GUC CUG GAG UUC CUG UAC ACA GGC CUU UUU AUC ACC ACG CAU GAU GCU AUG CAU GGC ACC AUC GCC AUG AGA AAC AGG CAG CUU 624 AAU GAC UUC UUG GGC AGA GUA UGC AUC UCC UUG UAC GCC UGG UUU GAU 672 UAC AAC AUG CUG CAC CGC AAG CAU UGG GAG CAC CAC AAC CAC ACU GGC 720 GAG GUG GGC AAG GAC CCU GAC UUC CAC AGG GGA AAC CCU GGC AUU GUG 768 CCC UGG UUU GCC AGC UUC AUG UCC AGC UAC AUG UCG AUG UGG CAG UUU 816 GCG CGC CUC GCA UGG UGG ACG GUG GUC AUG CAG CUG CUG GGU GCG CCA 864 AUG GCG AAC CUG CUG GUG UUC AUG GCG GCC GCG CCC AUC CUG UCC GCC 912 ULC CGC UUG UUC UAC UUU GGC ACG UAC AUG CCC CAC AAG CCU GAG CCU 960 GGC GCC GCG UCA GGC UCU UCA CCA GCC GUC AUG AAC UGG UGG AAG UCG 1008 CGC ACU AGC CAG GCG UCC GAC CUG GUC AGC UUU CUG ACC UGC UAC CAC 1056

| UUC | GAC | CUG | CAC | UGG | GAG | CAC | CAC | CGC | UGG | CCC | UUC | GCC | CCC | UGG | UGG | 1104 |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|
| GAG | CUG | CCC | AAC | UGC | CGC | CGC | CUG | UCU | GGC | CGA | GGU | CUG | GUU | CCU | GCC | 1152 |
| UAG | CUG | GAC | ACA | CUG | CAG | UGG | GCC | CUG | CUG | CCA | GCU | GGG | CAU | GCA | GGU | 1200 |
| UGU | GGC | AGG | ACU | GGG | UGA | GGU | GAA | AAG | CUG | CAG | GCG | CUG | CUG | CCG | GAC | 1248 |
| ACG | CUG | CAU | GGG | CUA | CCC | UGU | GUA | GCU | GCC | GCC | ACU | AGG | GGA | GGG | GGU | 1296 |
| UUG | UAG | CUG | UCG | AGÇ | UUG | CCC | CAU | GGA | UGA | AGC | UGU | GUA | GUG | GUG | CAG | 1344 |
| GGA | GUA | CAC | CCA | CAG | GCC | AAC | ACC | CUU | GCA | GGA | GAU | GUC | UUG | CĢU | CGG | 1392 |
| GAG | GAG | UGU | UGG | GCA | GUG | UAG | AUG | CUA | UGA | UUG | UAU | CUU | AAU | GCU | GAA | 1440 |
| GCC | UUU | AGG | GGA | GCG | ACA | CUU | AGU | GCU | GGG | CAG | GCA | ACG | CCC | UGC | AAG | 1488 |
| GUG | CAG | GCA | CAA | GCU | AGG | CUG | GAC | GAG | GAC | UCG | GUG | GCA | GGC | AGG | UGA | 1536 |
| AGA | GGU | GCG | GGA | GGG | UGG | UGC | CAC | ACC | CAC | UGG | GCA | AGA | CCA | UGC | UGC | 1584 |
| AAU | GCU | GGC | GGU | GUG | GCA | GUG | AGA | GCU | GCG | UGA | UUA | ACU | GGG | CUA | UGG | 1632 |
| AUU | GUU | UGA | GCA | GUC | UCA | CUU | AUU | CUU | UGA | ŲAU | AGA | UAC | UGG | UCA | GGC | 1680 |
| AGG | UCA | GGA | GAG | UGA | GUA | UGA | ACA | AGU | UGA | GAG | GUG | GUG | CGC | UGC | CCC | 1728 |
| UGC | GCU | UAU | GAA | GCU | GUA | ACA | AUA | AAG | UGG | UUC | | | | | | 1771 |
| | | | | | | | | | | | | | | | | |

(2) INFORMATION FOR SEQ ID NO:3:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 1771 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: double
(D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

GGC ACG AGC TTG CAC GCA AGT CAG CGC GCG CAA GTC AAC ACC TGC CGG 48
TCC ACA GCC TCA AAT AAT AAA GAG CTC AAG CGT TTG TGC GCC TCG ACG 96
TGG CCA GTC TGC ACT GCC TTG AAC CCG CGA GTC TCC CGC CGC ACT GAC 144
TGC CAT AGC ACA GCT AGA CGA ATG CAG CTA GCA GCG ACA GTA ATG TTG 192
Met Gln Leu Ala Ala Thr Val Met Leu

240

336

GAG CAG CTT ACC GGA AGC GCT GAG GCA CTC AAG GAG AAG GAG AAG GAG Glu Gln Leu Thr Gly Ser Ala Glu Ala Leu Lys Glu Lys Glu Lys Glu . 10 15 20 25

GTT GCA GGC AGC TCT GAC GTG TTG CGT ACA TGG GCG ACC CAG TAC TCG

Val Ala Gly Ser Ser Asp Val Leu Arg Thr Trp Ala Thr Gln Tyr Ser

30 35 40

CTT CCG TCA GAA GAG TCA GAC GCG GCC CGC CCG GGA CTG AAG AAT GCC
Leu Pro Ser Glu Glu Ser Asp Ala Ala Arg Pro Gly Leu Lys Asn Ala
45 50 55

TAC AAG CCA CCA CCT TCC GAC ACA AAG GGC ATC ACA ATG GCG CTA CGT

Tyr Lys Pro Pro Pro Ser Asp Thr Lys Gly Ile Thr Met Ala Leu Arg

60 65 70

GTC ATC GGC TCC TGG GCC GCA GTG TTC CTC CAC GCC ATT TTT CAA ATC

Val Ite Gly Ser Trp Ala Ala Val Phe Leu His Ala Ile Phe Gln Ite

75

80

85

AAG CTT CCG ACC TCC TTG GAC CAG CTG CAC TGG CTG CCC GTG TCA GAT

Lys Leu Pro Thr Ser Leu Asp Gln Leu His Trp Leu Pro Val Ser Asp
90 95 100 105

GCC ACA GCT CAG CTG GTT AGC GGC ACG AGC AGC CTG CTC GAC ATC GTC

Ala Thr Ala Gln Leu Val Ser Gly Thr Ser Ser Leu Leu Asp Ile Val

110 115 120

GTA GTA TTC TTT GTC CTG GAG TTC CTG TAC ACA GGC CTT TTT ATC ACC

Val Val Phe Phe Val Leu Glu Phe Leu Tyr Thr Gly Leu Phe Ile Thr

125 130 135

ACG CAT GAT GCT ATG CAT GGC ACC ATC GCC ATG AGA AAC AGG CAG CTY

Thr His Asp Ala Met His Gly Thr Ile Ala Met Arg Asn Arg Gln Leu

140

145

150

| AAT | GAC | TTC | TTG | GGC | AGA | GTA | TGC | ATC | TCC | TTG | TAC | GCC | TGG | 111 | GAT | 672 |
|------|-------|-----|-----|-----|------|-----|------|-----|------|------------|------|-----|------|--------|-----|-------|
| Asn | Asp | Phe | Leu | Gly | Arg | Val | Cys | He | Ser | Leu | Tyr | Ala | Trp | Phe | Asp | |
| | 155 | | | | | 160 | | | | | 165 | | | | | |
| | | | | | | | | | | CAC | | | | | | . 720 |
| | Asn | Met | Leu | His | | Lys | His | Trp | Glu | His | His | Asn | His | Thr | | |
| 170 | | | | | 175 | | | | | 180 | | | | | 185 | |
| | | | | | | | | | | GGA | | | | | | 768 |
| Glu | Val | Gly | Lys | • | Pro | Asp | Phe | His | _ | Gly | Asn | Рго | Gly | | Val | |
| | T00 | | | 190 | *** | 470 | *** | *** | 195 | | *** | | T.00 | 200 | | 016 |
| | | | | | | | | | | ATG Met | | | | | | 816 |
| PIO | пр | rne | 205 | 361 | riic | MEC | aet. | 210 | 1.31 | met | 261. | nec | 215 | utn | rne | |
| aca | CGC | CTC | | TGG | TGG | ACG | GTG | | ATG | CAG | CIG | CTG | | aca | CCA | 864 |
| | | | | | | | | | | Gln | | | | | | 004 |
| ,,,, | ,,, 5 | 220 | | ,- | | | 225 | | | | | 230 | ••, | ,,,,,, | | |
| ATG | GCG | | CTG | CTG | GTG | TTC | | GCG | GCC | GCG | ccc | ATC | CTG | TCC | GCC | 912 |
| | | | | | | | | | | Ala | | | | | | • |
| | 235 | | | | | 240 | | | | | 245 | | | | | |
| TTC | CGC | TTG | TTC | TAC | TTT | GGC | ACG | TAC | ATG | CCC | CAC | AAG | CCT | GAG | CCT | 960 |
| Phe | Arg | Leu | Phe | Туг | Phe | Gly | Thr | Tyr | Met | Pro | His | Lys | Pro | Glu | Pro | |
| 250 | | | | | 255 | | | | | 260 | | | | | 265 | |
| GGC | GCC | GCG | TCA | GGC | TCT | TCA | CCA | GCC | GTC | ATG | AAC | TGG | TGG | AAG | TCG | 1008 |
| Gly | Ala | Ala | Ser | Gly | Ser | Ser | Pro | Ala | Val | Met | Asn | Тrр | Trp | Lys | Ser | |
| | | | | 270 | | | | | 275 | | | | | 280 | | |
| | | | | | | | | | | TTT | | | | | | 1056 |
| Arg | Thr | Ser | | Ala | Ser | Asp | Leu | | Ser | Phe | Leu | Thr | • | Tyr | His | |
| *** | | 270 | 285 | T00 | | C40 | C40 | 290 | 700 | ~~~ | *** | | 295 | TCC | T00 | 440/ |
| | | | | | | | | | | CCC Pro | | | | | | 1104 |
| rne | Asp | 300 | nis | пр | u | nis | 305 | Arg | пр | Pro | Pne | 310 | Pro | irp | irp | |
| GAG | CTG | | ΔΔΓ | TGC | ccc | cec | | TCT | GGC | CGA | CCT | | CTT | CCT | GCC | 1152 |
| | | | | | | | | | | Arg | | | | | | 1132 |
| | 315 | | | -,- | 3 | 320 | | ••• | , | 3 | 325 | | | | | |
| TAG | | GAC | ACA | CTG | CAG | | GCC | CTG | CTG | CCA | GCT | GGG | CAT | GCA | GGT | 1200 |
| TGT | GGC | AGG | ACT | GGG | TGA | GGT | GAA | AAG | CTG | CAG | GCG | CTG | CTG | CCG | GAC | 1248 |
| ACG | CTG | CAT | GGG | CTA | ccc | TGT | GTA | GCT | GCC | GCC | ACT | AGG | GGA | GGG | GGT | 1296 |
| TTG | TAG | CTG | TCG | AGC | TTG | CCC | CAT | GGA | TGA | AGC | TGT | GTA | GTG | GTG | CAG | 1344 |
| GGA | GTA | CAC | CCA | CAG | GCC | AAC | ACC | CTT | GCA | GGA | GAT | GTC | TŢG | CGT | CGG | 1392 |
| GAG | GAG | TGT | TGG | GCA | GTG | TAG | ATG | CTA | TGA | TTG | TAT | CTT | AAT | GCT | GAA | 1440 |
| GCC | TIT | AGG | GGA | GCG | ACA | CTT | AGT | GÇT | GGG | CAG | GCA | ACG | CCC | TGC | AAG | 1488 |
| | | | | | | | | | | TCG | | | | | | 1536 |
| | | | | | | | | | | TGG | | | | | | 1584 |
| | | | | | | | | | | TGA | | | | | | 1632 |
| | | | | | | | | | | | | | | | GGC | 1680 |
| | | | | | | | | | | | | GTG | CGC | TGC | CCC | 1728 |
| ıGC | GCT | IAT | GAA | GCT | GTA | ACA | ATA | AAG | IGG | ITC | | | | | | 1771 |

(2) INFORMATION FOR SEQ ID NO:4:

SEQUENCE CHARACTERISTICS:

LENGTH: (A)

329 amino acids

(B) TYPE: amino acid

(C) TOPOLOGY: linear

SEQUENCE DESCRIPTION: SEQ ID NO:4: (xi)

Met Gin Leu Ala Ala Thr Val Met Leu

5

Glu Gin Leu Thr Gly Ser Ala Glu Ala Leu Lys Glu Lys Glu Lys Glu

| 10 | | | | | 15 | | | | | 20 | | | | | 25 |
|------------|------------|------------|------------|------------|------------|-------------|------------|------------|------------|------------|------------|--------------------|------------|-------------|------------|
| Val | Ala | Gly | Ser | Ser 30 | Asp | Val | Leu | Arg | Thr 35 | Trp | Ala | Thr | Gln | Tyr 40 | Ser |
| Leu | Pro | Ser | Glu 45 | Glu | Ser | Asp | Ala | Ala 50 | Arg | Рго | Gly | Leu | Lys 55 | Asn | Ala |
| Туг | Lys | Pro 60 | | Pro | Ser | Asp | Thr 65 | | Gly | 1 l e | Thr | Met 70 | | Leu | Arg |
| Val | 11e 75 | | Ser | Trp | Ala | Ala 80 | | Phe | Leu | His | Ala 85 | | Phe | Gln | Ile |
| Lys 90 | Leu | Pro | Thr | Ser | Leu 95 | Asp | Gln | Leu | His | Trp 100 | | Pro | Val | Ser | Asp 105 |
| Ala | Thr | Ala | Gln | Leu 110 | Val | Ser | Gly | Thr | Ser 115 | | Leu | Leu | Asp | I le 120 | |
| Val | Val | Phe | Phe 125 | Val | Leu | Glu | Phe | Leu 130 | Tyr | Thr | Gly | Leu | Phe 135 | He | Thr |
| Thr | His | Asp 140 | Ala | Met | His | Gly | Thr 145 | Ile | Ala | Met | Arg | Asn 150 | Arg | Gln | Leu |
| Asn | Asp 155 | Phe | Leu | Gly | Arg | Va l 160 | Cys | Ile | Ser | Leu | Tyr 165 | Ala | Trp | Phe | Asp |
| Tyr 170 | Asn | Met | Leu | His | Arg 175 | Lys | His | Тгр | Glu | His 180 | His | Asn | His | Thr | Gly 185 |
| Glu | Val | Gly | Lys | Asp 190 | Pro | Asp | Phe | His | Arg 195 | Gly | Asn | Pro | Gly | 11e 200 | Val |
| Pro | Trp | Phe | Ala 205 | Ser | Phe | Met | Ser | Ser 210 | Туг | Met | Ser | Met | Trp 215 | Gln | Phe |
| Ala | Arg | Leu 220 | Ala | Тгр | Trp | Thr | Val 225 | Val | Met | Gln | Leu | Leu 230 | Gly | Ala | Рго |
| Met | Ala 235 | Asn | Leu | Leu | Val | Phe 240 | Met | Ala | ala | Ala | Pro 245 | lle | Leu | Ser | Ala |
| Phe 250 | Arg | Leu | Phe | Туг | Phe 255 | Gly | Thr | Туг | Met | Pro 260 | His | Lys | Pro | Glu | Pro 265 |
| Gly | Ala | Ala | Ser | Gly 270 | Ser | Ser | Pro | Ala | Val 275 | Met | Asn | Trp | Trp | Lys 280 | Ser |
| Arg | Thr | Ser | Gln 285 | Ala | Ser | Asp | Leu | Val 290 | Ser | Phe | Leu | Thr | Cys 295 | Туг | His |
| Phe | Asp | Leu 300 | His | Тгр | Glu | His | His 305 | Arg | Trp | Pro | Phe | Ala 31 0 | Pro | Trp | Тгр |
| Glu | Leu 315 | Pro | Asn | Cys | Arg | Arg 320 | Leu | Ser | Gly | | Gly 325 | Leu | Val | Pro | Ala |

WHAT IS CLAIMED IS:

- 1. A DNA segment comprising a nucleotide sequence coding for a polypeptide having an amino acid sequence as set forth in SEQ ID NO:4
- 2. A DNA segment as in claim 1, wherein said nucleotide sequence is a variant selected from the group of variants consisting of allelic variants, species variants, naturally occurring variants, man-induced variants and combinations thereof.
- 3. A DNA segment as in claim 1, wherein said nucleotide sequence includes a sequence as set forth in SEQ ID NO:1.
- 4. A DNA segment as in claim 1, wherein said nucleotide sequence includes a sequence as set forth between and including nucleotides 166 and 1152 of SEQ ID NO:1.
- 5. A DNA segment comprising a nucleotide sequence hybridizing under high stringency conditions to a nucleic acid probe, said probe including at least fifteen successive nucleotides of SEQ ID NO:1.
- 6. A DNA segment comprising a nucleotide sequence hybridizing under low-stringency conditions to a nucleic acid probe, said probe including nucleotides 166 through 1152 of SEQ ID NO:1.
- 7. A DNA segment comprising a nucleotide sequence hybridizing under high stringency conditions to a nucleic acid probe, said probe including at least fifteen successive nucleotides of SEQ ID NO:2.
- 8. A DNA segment comprising a nucleotide sequence hybridizing under low-stringency conditions to a nucleic acid probe, said probe including nucleotides 166 through 1152 of SEQ ID NO:2.
- 9. An RNA segment comprising a nucleotide sequence coding for a polypeptide having an amino acid sequence as set forth in SEQ ID NO:4
- 10. An RNA segment as in claim 9, wherein said nucleotide sequence is a variant selected from the group of variants consisting of allelic variants, species

variants, naturally occurring variants, man-induced variants and combinations thereof.

- 11. An RNA segment as in claim 9, wherein said nucleotide sequence includes a sequence as set forth in SEQ ID NO:2.
- 12. An RNA segment as in claim 9, wherein said nucleotide sequence includes a sequence as set forth between and including nucleotides 166 and 1152 of SEQ ID NO:2.
- 13. An RNA segment comprising a nucleotide sequence hybridizing under high stringency conditions to a nucleic acid probe, said probe including at least fifteen successive nucleotides of SEQ ID NO:1.
- 14. An RNA segment comprising a nucleotide sequence hybridizing under low-stringency conditions to a nucleic acid probe, said probe including nucleotides 166 through 1152 of SEQ ID NO:1.
- 15. An RNA segment comprising a nucleotide sequence hybridizing under high stringency conditions to a nucleic acid probe, said probe including at least fifteen successive nucleotides of SEQ ID NO:2.
- 16. An RNA segment comprising a nucleotide sequence hybridizing under low-stringency conditions to a nucleic acid probe, said probe including nucleotides 166 through 1152 of SEQ ID NO:2.
- 17. A polypeptide comprising an amino acid sequence corresponding to *Haematococcus pluvialis crtO* gene, allelic and species variants, and functional naturally occurring and man-induced variants thereof.
- 18. A polypeptide as in claim 17, wherein said amino acid sequence is as set forth in SEQ ID NO:4.
- 19. A polypeptide comprising an amino acid sequence homologous to the sequence set forth in SEQ ID NO:4.
- 20. A polypeptide comprising an amino acid sequence being encoded by a DNA segment, said DNA segment hybridizing under low stringency conditions

to nucleotides 166 through 1152 of SEQ ID NO:1, the polypeptide having a β -C-4-oxygenase activity.

- 21. A recombinant vector DNA molecule comprising a DNA segment as in claim 2.
- 22. A host comprising a recombinant vector DNA molecule as in claim 21, said host is selected from the group consisting of a cell and an organism.
- 23. A host comprising a DNA segment as in claim 2, said host is selected from the group consisting of a cell and an organism.
- 24. A method of producing xanthophylls selected from the group consisting of astaxanthin, canthaxanthin, echinenone, cryptoxanthin, isocryptoxanthin, hydroxyechinenone, zeaxanthin, adonirubin or adonixanthin and combinations thereof, comprising the steps of:
 - (a) providing a host as in claim 22;
 - (b) providing said host with growing conditions for production of the xanthophylls; and
 - (c) extracting the xanthophylls from said host.
- 25. A method of producing xanthophylls selected from the group consisting of astaxanthin, canthaxanthin, echinenone, isocryptoxanthin, cryptoxanthin, hydroxyechinenone, zeaxanthin, adonirubin or adonixanthin and combinations thereof, comprising the steps of:
 - (a) providing a host as in claim 23;
 - (b) providing said host with growing conditions for production of the xanthophylls; and
 - (c) extracting the xanthophylls from said host.
 - 26. A host as in claim 22, wherein said host is used as a food additive.
 - 27. A host as in claim 23, wherein said host is used as a food additive.
- 28. A transgenic plant expressing a transgene coding for a polypeptide including an amino acid sequence corresponding to *Haematococcus pluvialis crtO* gene, allelic and species variants or functional naturally occurring or man-induced variants thereof.

3

- 29. A transgenic plant as in claim 28, wherein said expression is highest in chromoplasts-containing tissues.
- 30. A recombinant DNA vector comprising a first DNA segment encoding a polypeptide for directing a protein into plant chloroplasts or chromoplasts and an in frame second DNA segment encoding a polypeptide including an amino acid sequence corresponding to *Haematococcus pluvialis crtO* gene, allelic and species variants or functional naturally occurring and maninduced variants thereof.
- 31. A recombinant DNA vector as in claim 30, wherein said first DNA segment is derived from the *Pds* gene of tomato.
- 32. A recombinant DNA vector comprising a first DNA segment including a promoter highly expressible in plant chloroplasts or chromoplasts-containing tissues and a second DNA segment encoding a polypeptide including an amino acid sequence corresponding to *Haematococcus pluvialis crtO* gene, allelic and species variants or functional naturally occurring and man-induced variants thereof.
- 33. A recombinant DNA vector as in claim 30, wherein said first DNA segment is derived from the *Pds* gene of tomato.

2/11

FIG. 2-A

| | 200 | | | | | |
|---|---|---|---|--|--|---|
| CRTOA.SEQ | VCCYCCLLYCCC | | | | | |
| CRTOJ.SEQ | ATGCACCTCCCA | TOCCCUCTUAT ZO | TCCTTCEACCAI 30 | :: : ::: CAAACCCACTI 40 | CACCCACCTC 50 | : :::: CTTCCXCC 60 |
| CRTOA.SEQ | 260 TCTCACCTCTTC | 270 · | 280 | 290 | 300 | 310 |
| CRTOJ.SEQ | CCAGACGTCTTC. | | CACACACTA 90 | : :: TCACATGCCA 100 | TOOCACTCCT | |
| CRTOA.SEQ | 320 CCCCCCCCCCAC | 330 | 340 | 350 | 110 360 GACACAAACCA | 120 370 CCATCACA |
| CRTOJ.SEQ | ccrccrccrccc | TAUCCICCO | CTACALACC | iccaccatety | CACCCCAACC | :::::: |
| CRTOA.SEQ | 130 380 ATCCCCCTACCTO | 140 390 TC 11CC TC | 150 400 | 160 410 | 170 420 | 430 |
| CXTO1.SEQ | ATCCCCCTGACCA | :::: ::: : | | :::::: | | |
| | 190 440 | 200 430 | 210 460 | 220 470 | 230 480 | 240 490 |
| CRTOA.SEQ | ACCOTACCGACAT | | *** ***** | : :::: ::: | :: :: :::: | :::: ::: |
| CAROLICA | 250 500 | 260 510 | 270 520 | 280 530 | 290 540 | 300 550 |
| CRTOA.SEQ | CTCCTTACCCCC | | :: ::::: | | : | |
| CRTOJ.SEQ | 310 560 | 1002000000 320 570 | 'ACTGCACATI 330 580 | 340 390 | 350 | 360 |
| CRTOA.SEQ | CTGTACACACCCC | TTTTATCAC | CACCCATCAT | ICCTATICCATI | | |
| | | | | | | |
| CRTOJ.SEQ | CTGTACACTCGTC | 77777777 380 | CACACATGA(190 | CCAATCCATT 400 | 2002000 410 | AZO |
| CRTOJ.SEQ | CTCTACACTCCTC | TATTCATCAC 380 630 WTGACTTCTT | CACACATGA(390 640 CCCCACACTA | CCAATCCATT 400 650 ATCCATCTCC | CCACEATACO 410 660 FTGTACCCCTO | 670 COTTICAT |
| | 270 620 AACACCCACCTTA 1111111111111111111111111 | TATTCATCAC 380 630 WIGACTTCTT 11111111111111111111111111111111 | CACACATCA(390 640 CCCCACACT; 1111 TCCCAACATC 430 | CCCATTCCATT 400 630 ATCCATCTCC TCCATATCAC 460 | CCCACCATACC 410 660 TTGTACCCCTC CTGTACCCCTC 470 | 420 670 CGTTTCAT CGTTTCAC 480 |
| CRTOA.SEQ | 620 AACACCACCTTA 11111111111111111111111111 | 380 630 MIGACTICTI 1111 MIGACTICTO 440 690 CACCICAACCA | CACACATOA 390 640 CCCCAGACT; TCCCAACATC 450 700 TTCCCACCAC | CCAATCCATT 400 650 ATCCATCTCC :::::::::::::::::::::::::::: | CCCACEATACC 410 660 FTGTACCCCTC 470 720 ACTGCCCACGCCACG | 670 670 671TGAT 6111111 661TTGAC 480 730 |
| CRTOJ.SEQ | CTGTACACTCGTC 370 620 AACACGCACCTTA 11111111111111111111111111111111 | 530 630 630 MICACITETT MICATETECT 440 690 CACCICAGEA | CACACATCAC 390 640 CCCCACACATC 1111 TCCCAACATC 450 700 TTCCCACCAC | CCAATCCATT 400 630 ATCCATCTCC :::::::::::::::::::::::::::: | CCACEATACC 410 660 FTGTACCCCTC 470 720 ACTGCCCCACGT | 670 670 CCTTTCAT :::::::::::::::::::::::::::::: |
| CRTOA.SEQ CRTOJ.SEQ CRTOA.SEQ | CTGTACACTCGTC 370 620 AACACCCACCTTA 11111111111111111111111 | 380 430 430 430 430 430 440 690 ACCCCAACCA 11111111 ATCATCCCAACCA 500 750 ACCCCCAAC | CACACATCAC 390 640 CCCCACACATC 450 700 TTCCCACCAC :::::::::::::::::::::::::::: | 400 650 ATCCATATCAT 460 710 CCACAACCAC 520 770 GGGGCCCCCCGG | 20CACEATACC 410 660 FTGTACCCCTC 470 720 ACTCCCCAACG 530 780 FTTCCCACCTT | 670 670 670 CGTTTCAT 480 730 (CGCCAAG 1111 11 (CGCCAAG 1111 11 (CGCCAAG 1790 (CATCTCC |
| CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ CRTOJ.SEQ | CTGTACACTCGTC 370 620 AACACCCACCTTA 1111111111111111111111111 | 530 630 MIGACTICTI 440 690 CACCCCAACCA 500 CACCCCAACCA 750 CACACCCCAAC | CACACATCAC 390 640 CCCCACACATC 450 700 TTCCCACCAC 510 760 CCCTCCCACCATC 1:::::::::::::::::::::::::::::::::::: | AGCAATGCATT AGO ATGCATCTCCT AGO ATGCATCTCCT AGO ATGCACACCAC AGO ATGCACACCAC AGO ATGCACACCAC AGO AGGCACCACT AGO AGGCACCCTCGGT AGGCCCCTCGGT | ALTICOCCACCT | 670 670 670 CGTTTCAT 480 730 TCGCCAAG 1111 11 TCGCCAAG 540 790 TCATCTCC |
| CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ | CTGTACACTCGTC 370 620 AACACGCACCTTA 1111111111111111111111111111111 | 7380 380 40162471671 440 690 40062644664 500 750 40046666444 560 810 | CACACATEAC | ACCANTICATE A00 650 ATECATATCAC A60 710 CCACAACCAC 520 770 IGTCCCCTCGT 530 830 | 20CACEATAGE 410 660 FTGTACCCCTT 470 720 ACTICCCCACCTT 530 780 FTTCCCCACCTT 1111111111111111111111111111 | 20 420 670 CGTTTCAC 480 730 CGCCAAG 540 790 CCATGTCC 600 850 |
| CRTOA.SEQ CRTOA.SEQ CRTOJ.SEQ CRTOJ.SEQ CRTOA.SEQ CRTOA.SEQ | CTGTACACTCGTC 370 620 AACACGCACCTTA 430 630 TACAACATCCTCC 490 740 GACCCTGACTTCC 550 800 AGCTACATGTCCC AGCTACATGTCCC AGCTACATGTCCC AGCTACATGTCCC AGCTACATGTCCC AGCTACATGTCCC AGCTACATGTCCC | TATTCATCAC 380 630 410 440 690 ACCCCAACCA 300 750 ACACCCGAACA 360 ACACCCGAAC 360 750 ACACCCGAAC 360 ACACCCCGAAC 360 ACACCCCCACT ACACCCGAAC 360 ACACCCCCACT ACACCCGAAC 360 ACACCCCCACT ACACCCCACT ACACCCCCACT ACACCCCACT ACACCCCCACT ACACCCCACT ACACCCCACT ACACCCCACT ACACCCCACT ACACCCCACT ACACCCCCACT ACACCCCACT ACACCCCCACT ACACCCCCACT ACACCCCCACT ACACCCCCACT ACACCCCCACT ACACCCCCACT ACACCCCCACT ACACCCCCACT ACACCCCCACCT ACACCCCCACCC ACACCCCCACCC ACACCCCCACCC ACACCCCCACCC ACACCCCCACCC ACACCCCCACCC ACACCCCCACCC ACACCCCCACCC ACACCCCCACCC ACACCCCCC | CACACATCAC | CCAATCCATC 400 650 ATCCATCTCC 201 101 CCACAACCAC 710 CCACAACCAC 720 770 GCTCCCCTCG 330 830 CCCATCGTCGC 650 CCCATCGTCGC 650 CCCATCGTCGC 650 CCCATCGTCGC | 20CACEATAGC 410 660 TTGTACCCCTC 470 720 ACTCCCCACGT 530 780 TTGCCCACCTT 590 840 ACCGCTCCACTCCT 590 ACCGCTCCCACCTT 590 ACCGCTCCCACCTT 590 ACCGCTCCCACCTT 590 ACCGCTCCTCACTT 590 ACCGCTCCTCACTT 590 ACCGCTCCTCACTT 590 ACCGCTCCTCATT 50CACCTCCACCTT 50CACCTCCACCTCATT 50CACCTCCACCTCATT 50CACCTCCACCTCATT 50CACCTCACCTCATT 50CACCTCCACCTCATT 50CACCTCCACCTCATT 50CACCTCCACCTCATT 50CACCTCCACCTCATT 50CACCTCCACCTCATT 50CACCTCCACCTCATT 50CACCTCCACCTCATT 50CACCTCATT 50CACCTCCACCTCATT 50CACCTCACCTCACTCATT 50CACCTCCACCTCATT 50CACCTCACCTCACTCATT 50CACCTCACCTCATT 50CACCTCACCTCACTCATT 50CACCTCACCTCACTCATT 50CACCTCACCTCACTCATT 50CACCTCACCTCACTCATT 50CACCTCACCTCACTCATT 50CACCTCACCTCACTCATT 50CACCTCACCTCACTCATT 50CACCTCACCTCACTCACTCACTCACTCACTCACTCACTC | 670 670 670 670 671 671 671 670 730 670 670 670 670 670 670 670 670 670 67 |
| CRTOA.SEQ CRTOJ.SEQ CRTOJ.SEQ CRTOJ.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ | CTGTACACTCGTC 370 620 AACACGCACCTTA 1111111111111111111111111111111 | TATTCATCAC 380 ATCACTTCTT 440 690 ACCCCCAACCA 5C0 750 ACCACCCCAACCA 5C0 750 ACCACCCCAACCA 560 750 ACCACCCCAACCA 560 750 ACCACCCCAACCA 560 810 ATCTCCCACTT 620 870 ATCTCCCACTT 620 870 | CACACATEAC | CCCATCCATC 400 650 ATCCATCTCCT 460 710 CCCACACCAC 520 770 IGTCCCCTCGT 530 830 CCCATCGTCGC 640 890 CATCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCC | 20CACEATAGE 410 660 FTGTACCCCTT 470 720 ACTGCGCAACT 530 780 FTTGCCACCTT 390 840 ACGGTCGTCATCAT 650 900 CCGCCCATCCT | ### ################################## |
| CRTOA.SEQ CRTOJ.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ | CTGTACACTGGTG 370 620 AACACGACCACCTTA 430 680 TACAACATGCTGG 490 740 GACCCTGACTTCG ::::::::::::::::::::::::::::::::: | 380 380 MTCACTICTI 440 690 ACCCCAACCA 500 750 ACAGGGGAAA 560 810 TGTGGCCAGTT 620 S70 MTGGGGAACCT 620 MTGGGGAACCT 620 MTGGGGAACCT 620 MTGGGGAACCT 620 MTGGGGAACCT | CACACATCAC 390 640 CCCCACACATC 430 700 TTCCCACCAC 510 760 CCCTCCCACTAT 11:::::::::::::::::::::::::::::::::: | 400 450 ATGCATCTCCT 460 710 CCACAACCAC 720 770 TGTGCCCTCGT 530 830 CCCATCGTGGC 640 890 CATGCCCCCCCCC 640 830 CATGCCCCCCCCC 640 640 640 640 640 640 640 640 640 640 | 2002/00/20/20/20/20/20/20/20/20/20/20/20 | 20 420 670 20 20 20 20 20 20 20 20 20 20 20 20 20 |
| CRTOA.SEQ CRTOJ.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ | CTGTACACTCGTC 370 620 AACACGCACCTTA 1111111111111111111111111111111 | TATTCATCAC 380 ATTCATCACAC 440 ATTCACCAACCA 500 TSO ACACCCCAACCA 500 TSO ACACCCCAACCA 560 810 TGTGCCCACTT 620 870 ATGCCCAACCA TGTGCCAACCA 101 TGTGCCCACTT 111 TGTGCCCACCTT 111 TGTGCCAACCA 870 TGTGCCCAACCA 530 930 | CACACATEAC | CCAATCCATT 400 650 ATCCATCTCCT 11111111 CTCCATATCAC 460 710 CCACAACCAC 520 770 GTCCCCTCCT 530 830 CCCATCGTCGC 640 890 CATCCCCCCCCC 640 890 CATCCCCCCCCCCCC 640 890 CATCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCC | ### ################################## | ### A TO A |
| CRTOA.SEQ CRTOJ.SEQ CRTOJ.SEQ CRTOJ.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ CRTOA.SEQ | CTGTACACTGGTG 370 620 AACAGGCACCTTA 1111111111111111111111111111111 | TATTCATCAC 380 ATCACTICTI 440 690 ACCCCAACCA 500 750 ACCACCCAACCA 510 ATCACCCAACCA 520 810 ATCACCCAACCA 630 ATCACCCAACCA 520 870 ATCACCCAACCA 630 930 ACCACCCAACCA 630 9310 ACCACCCAACCA | CACACATCAC | CCAATCCATC 400 ATCCATCTCC 460 710 CCACAACCAC 520 770 GTCCCCTCGT 530 830 CCCATCGTCGC 640 890 CATCCCCCCC 640 890 CATCCCCCCCC 700 CATCCCCCCCCC 700 CATCCCCCCCCCC 700 CATCCCCCCCCCCC 700 CATCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCC | 2002/00/20/20/20/20/20/20/20/20/20/20/20 | ### A TO A |

3/11

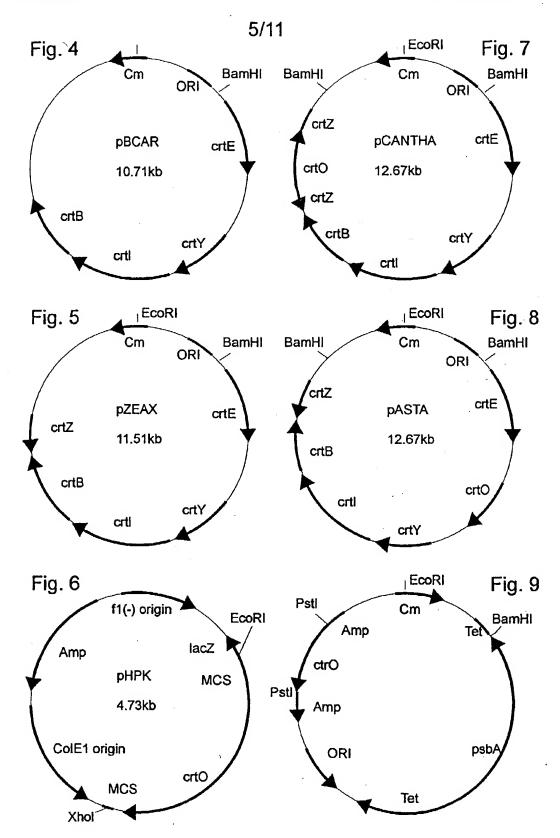
FIG. 2-B

| | 980 | 990 | 1000 | 1010 | 1020 | 1030 |
|------------|---|----------------|-------------|-------------------|------------|-----------|
| CRTOA.SEQ | CCCTCTTCACCA | CCCCTCATC | AACTCGTCCA | ACTECCECAC | TACCCACCCC | CCCACCTG |
| | ::::: :: | : :: ::: | ::::: : | :: :: | :: :::: | |
| CSTOJ.SEQ | CCCTCTCA | じСТСふТС | CCCTCCTTCA | CCCCCAACAC | MCTGAGGCAT | CTCATGTC |
| | | 790 | 800 | 810 | 820 | 830 |
| | 1040 | 1050 | 1060 | 1070 | 1080 | 1090 |
| CXTOX.SEQ | CTCACCTTTCTC | ACCTGCTAC | CACTTCGACC | TCCACTCCCA | CACCACCCC | rececette |
| | | :: :::::: | | ::::::::: | | ::::::: |
| D32. LOTKO | ATGAGTTTCCTC | ACATGETAC | CACTTTCACC | TCCACTCCCA | CCACCACAGG | CCCCCTTT |
| | 840 | 850 | 860 | 870 | 880 | |
| | | | _ | | | |
| | 1100 | 1110 | 1120 | 1130 | 1140 | 1150 |
| CRTOX.57.Q | CCCCCTCCTCC | SACCTCCCC | MCTCCCCC | ECCTCTCTCC | CCCACCTCTC | CTTCCTCCC |
| | ********* | ****** | | ::::::: :: | :: :: ::: | |
| CXTOJ.SEQ | CCCCCCTCCTCC | CACCTCCCC | CACTGCCCCC | CCCTCTCCCC | CCCTCCCCTC | CTCCCTCCC |
| | 900 | 910 | 920 | 930 | 940 | 950 |
| | 1160 | 1170 | 1130 | 119 | 0 120 | 0 |
| CRTOA.SEQ | TACCTUCACACA | CTCCACTCC | יטככבדעכדם- | CCACC-TCC | CCATCCACGT | TCTCCCACC |
| | <u>: : : : : : : : : : : : : : : : : : : </u> | ::: | : ::: :: | | ::: :: | ::: |
| CXTO).SEQ | TTGCCATGAC | | | んしてみしてしててて | ひとみーとみみらみじ | TCTCATCCT |
| | 960 | 970 | 980 | 990 | 1000 | 1010 |
| | 1210 1220 | | | | | |
| CRTOL.SEQ | ACTOCOTOACCT | | | * | | |
| | :: ::::: | | | | | |
| CRTOJ.SEQ | ACACCCTCCTC | | | | | |
| | 1020 | | | | | |
| | | | | | | |

4/11

FIG. 3

| | 1 | 10 | 20 | 30 | 40 | 50 | |
|---------------------|--|---|--|--|---|--|---------|
| CRTOA.AHI | HOLAAT | TYHLEOLTGS | SAEALKEKEKE | VAGSSDVLRT | WATOYSLPSE | ESDAARPGLKNAY | • |
| | : : | : :: :: | : :: | : : :::: | ::::: ::: | :: :: | |
| CRTOJ.AHI | HHVASA | ALMVEQK-GS | 5-EA | AASSPDVLRA | WATQYHHPSE | :::::::::::::::::::::::::::::::::::::: | • |
| | 1 | 10 | | 20 | 30 | 40 | |
| | | | | | | | |
| _ | | | | | | | |
| | 60 | 70 | 80 | 90 | 100 | 110 | |
| CRTOA.AMI | KPPPSI | TKGITHALE | RVIGSWAAVEL | HATFOIKLPT | SLDOLHWLPY | SDATAQLVSGTSS | T.1. |
| | | | | :::::: | | | |
| CRTOJ.AHI | | | | | | SEATAQLLGGSSS | |
| • | 50 | 60 | 70 | 80 | 90 | 100 | |
| | •• | ••• | •• | • | ,,, | 100 | |
| | | | | | | | |
| | 120 | 130 | 140 | 150 | 160 | 170 | |
| CRTOA.AHI | | | | | | LYAWFDYNMLHRK | W |
| | | | | | | :::::::: ::::: | |
| CRTOJ.AHI | | | | | | LYAWFDYSHLHRK | |
| | 110 | 120 | 130 | | 150 | 160 | |
| | ••• | | | 2 | 120 | 100 | |
| | | | | | | | |
| | 180 | 190 | 200 | 210 | 220 | 230 | |
| | | | | | | | |
| CRTOA_AHT | EHHNH | TGEVCKDPDI | HRCNPGTVPL | IFASFHSSYHS | HUOFARI AVA | TVVMOLI GAPHAN | U.F. |
| CRTOA.AHI | EHHNH | TGEVCKDPDI | | | • | TVVMQLLGAPKAN | |
| | ::::: | ::::::::: | | | | | :: |
| CRTOJ.AHI | EHHNH | TGEVGKDPDI | FHKGNPGLVP | /Fasenssyns | LHQFARLAWH | AVVHQHLGAPHAN | :: |
| | ::::: | ::::::::: | | | | | :: |
| | EHHNH | TGEVGKDPDI | FHKGNPGLVP | /Fasenssyns | LHQFARLAWH | AVVHQHLGAPHAN | :: |
| | ::::: ЕННИН 170 | TGEVGKDPDI 180 | FHKGNPGLVPV 190 | ifasehssyhs 200 | LWQFARLAWW 210 | AVVHQHLGAPHAN 220 | :: |
| CRTOJ.AHI | EHHNH 170 | TGEVGKDPDI 180 | FHKGNPGLVPH 190 260 | 7FASFHSSYHS 200 270 | LWQFARLAWH 210 280 | AVVHQHLGAPHAN 220 290 | :: |
| | EHHNH 170 240 VFHAA | TGEVGKDPDI 180 250 APILSAFRLI | FHKGNPGLVPV 190 260 FYFGTYMPHKE | ZFASFHSSYHS ZOO 270 PEPGAASGSSP | LWQFARLAWW 210 280 AVYONWWKSRT | 290 SQASDLVSFLT | :: |
| CRTOJ.AHI | EHHNH 170 240 VFHAA | TGEVGKDPDI 180 250 APILSAFRLI | FHKGNPCLVPI 190 260 FYFGTYMPHKE | ZFASFHSSYHS ZOO Z70 PEPGAASGSSP | LWQFARLAWW 210 280 AVYDWWKSRI | 290 SQASDLVSFLT | :: |
| CRTOJ.AHI | 240 VFHAA | TGEVGKDPDI 180 250 APILSAFRLI | FHKGNPGLVPH 190 260 FYFGTYMPHKE | ZFASFHSSYHS ZOO Z70 PEPGAASGSSP | LWQFARLAWW 210 280 AVYNWWKSRI :::: | 290 SQASDLVSFLT SEASDVMSFLT | :: |
| CRTOJ.AHI | EHHNH 170 240 VFHAA | TGEVGKDPDI 180 250 APILSAFRLI | FHKGNPCLVPI 190 260 FYFGTYMPHKE | ZFASFHSSYHS ZOO Z70 PEPGAASGSSP | LWQFARLAWW 210 280 AVYDWWKSRI | 290 SQASDLVSFLT | :: |
| CRTOJ.AHI | 240 VFHAA | TGEVGKDPDI 180 250 APILSAFRLI | FHKGNPGLVPH 190 260 FYFGTYMPHKE | ZFASFHSSYHS ZOO Z70 PEPGAASGSSP | LWQFARLAWW 210 280 AVYNWWKSRI :::: | 290 SQASDLVSFLT SEASDVMSFLT | :: |
| CRTOJ.AHI | 240 VFHAA | TGEVGKDPDI 180 250 APILSAFRLI APILSAFRLI 240 | FHKGNPGLVPH 190 260 FYFGTYMPHKE FYFGTYLPHKE 250 | ZZOO ZZOO ZZOO PEPGAASGSSP EEPGPAAGSQ- Z6O | 280 AVINIVIES E 20 280 AVINIVIES E E-VICANFRAKT 270 | 290 SQASDLVSFLT SEASDVMSFLT | :: |
| CRTOJ.AHI | 240 VFMAA: VFMAA: 230 | TGEVGKDPDI 180 250 APILSAFRLI 240 | 260 FYFGTYMPHKE 250 | Z70 PEPGAASGSP SEPGPAAGSQ-260 20 329 | 210 280 AVYONWAKSRI :::- VMAWFRAKI 270 | 290 SQASDLVSFLT SEASDVMSFLT | :: |
| CRTOJ.AHI CRTOJ.AHI | ERHNH 170 240 VFMAA ::::: VFMAA 230 CYHFD | IGEVGKDPDI 180 250 APILSAFRLI APILSAFRLI 240 0 3: LHWEHHRVPI | FARGNPGLVPW 190 260 FYFGTYMPHKE FYFGTYLPHKE 250 10 37 FAPWWELPNCF | Z70 PEPGAASGSSP SEPGPAAGSQ- 260 20 329 RRLSGRGLVPA | 210 280 AVYONWAKSRI :::- VMAWFRAKI 270 | 290 SQASDLVSFLT SEASDVMSFLT | :: |
| CRTOJ.AHI CRTOJ.AHI | 240 VFMAA ::::: VFMAA 230 | IGEVGKDPDI 180 250 APILSAFRLI IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII | FHKGNPGLVPH 190 260 FYFGTYMPHKE EYFGTYLPHKE 250 10 32 FAPWWELPNCE | Z70 PEPGAASGSP SEPGPAAGSQ-260 20 329 | 280 AVYONAVESKI 270 280 AVYONAVESKI 270 | 290 SQASDLVSFLT SEASDVMSFLT | :: |



SUBSTITUTE SHEET (RULE 26)

Fig. 10

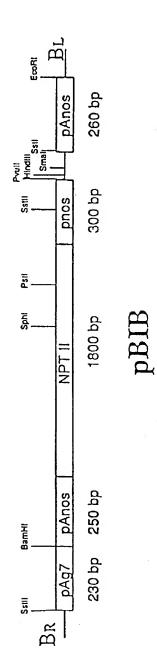


Fig. 11

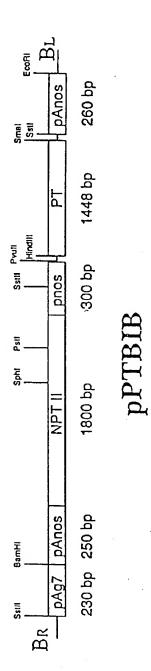


Fig. 12

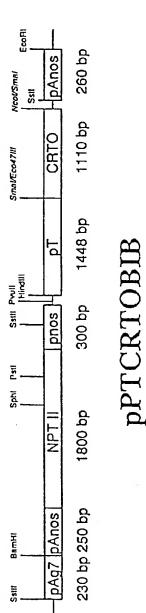


Figure 13

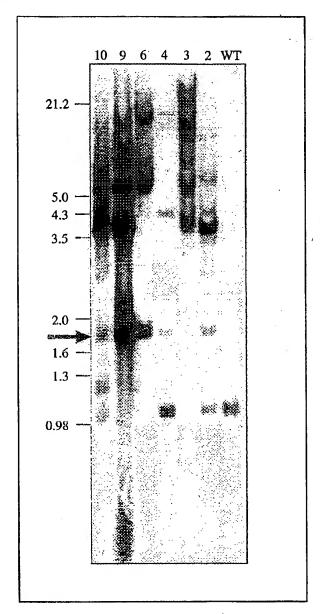


Fig. 14

Common pathway of early caratenoid reactions (detailed in Figure 1)



SUBSTITUTE SHEET (RULE 26)